

Modeling Rate-and-State Friction with Python

J.R. Leeman, R. May, C. Marone

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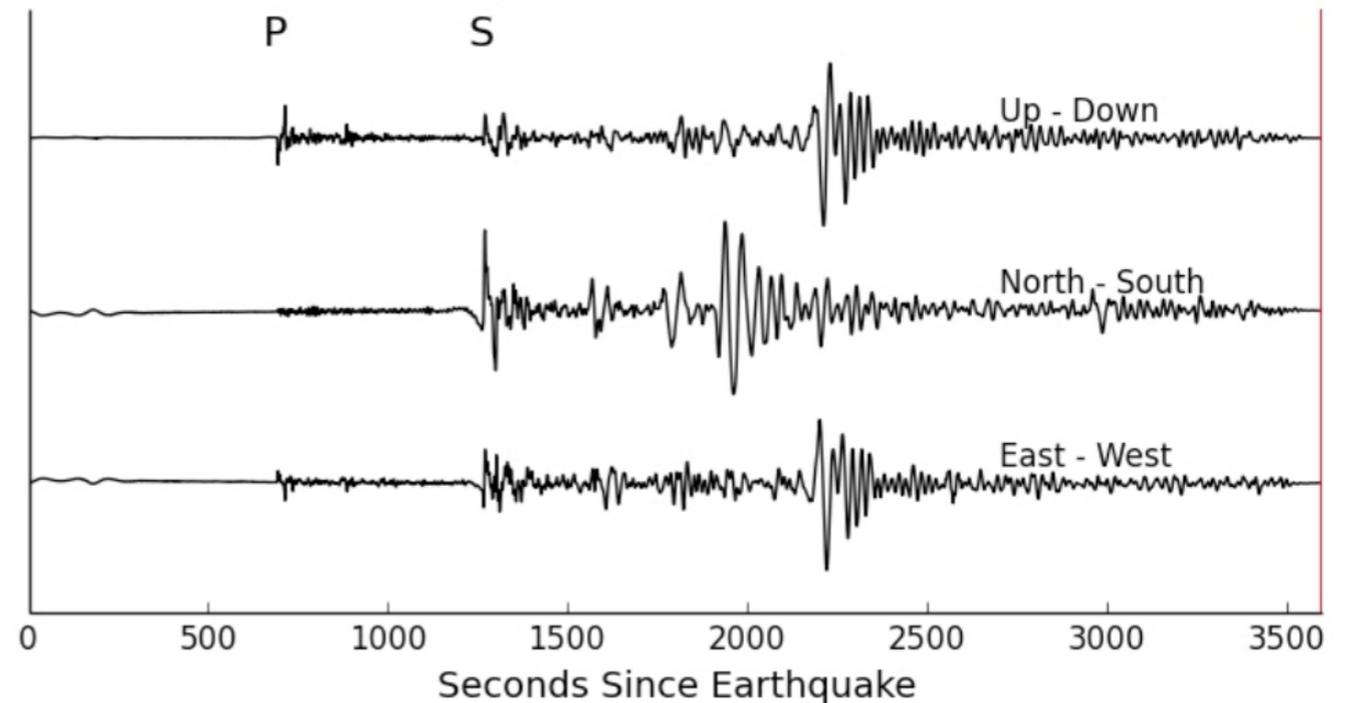
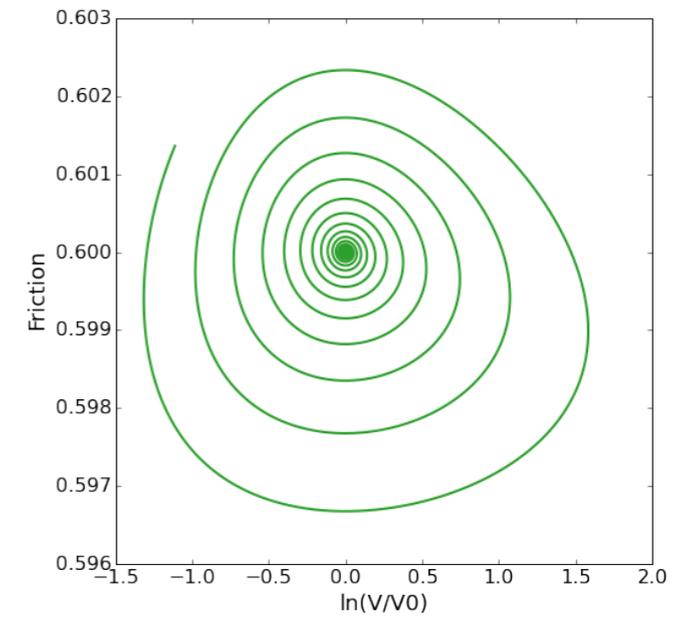
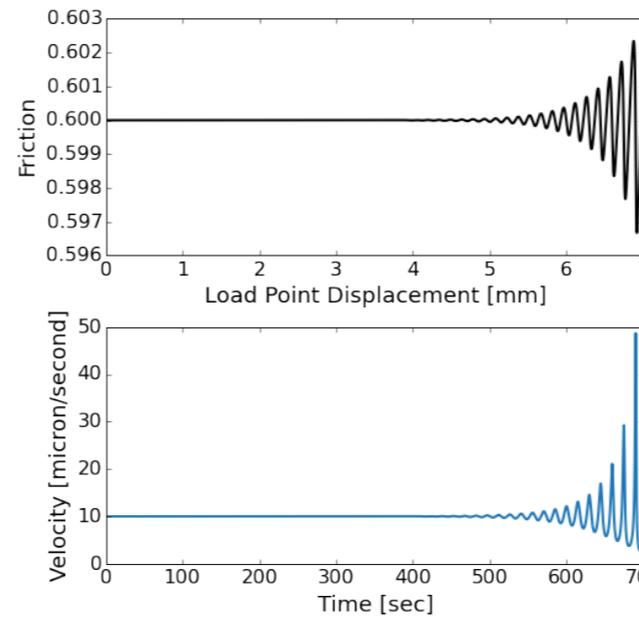
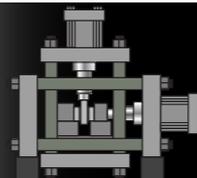
Department of Geosciences

The Pennsylvania State University

July 13, 2016



PENN STATE ROCK AND SEDIMENT
MECHANICS LABORATORY



Avoiding Common Academic Software Slip Ups

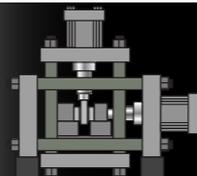
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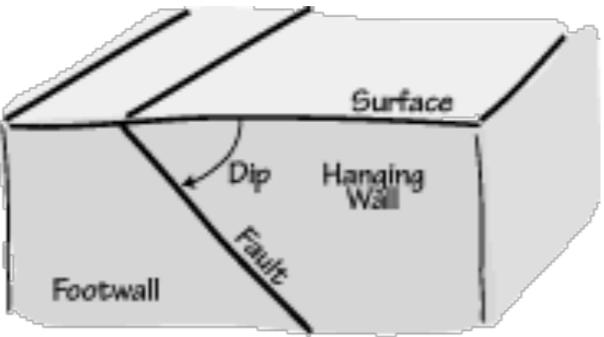
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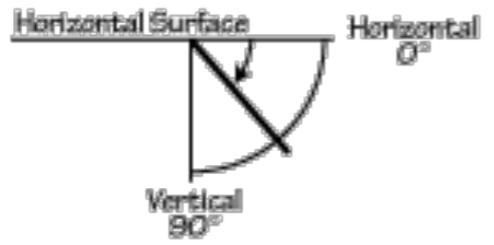
PENN STATE ROCK AND SEDIMENT
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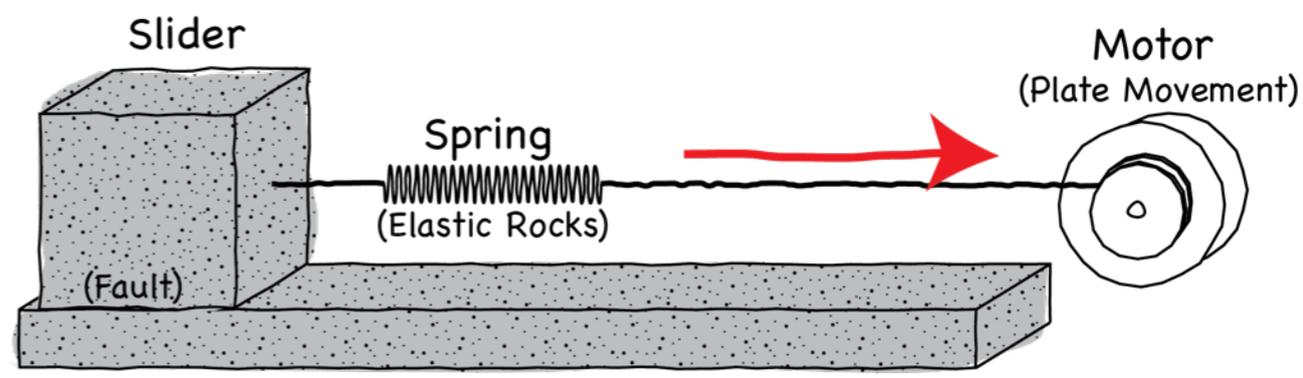
We are going to look at a real life problem and then make a tool to help solve it for researchers and educators



C. Ammon



Earthquakes 101



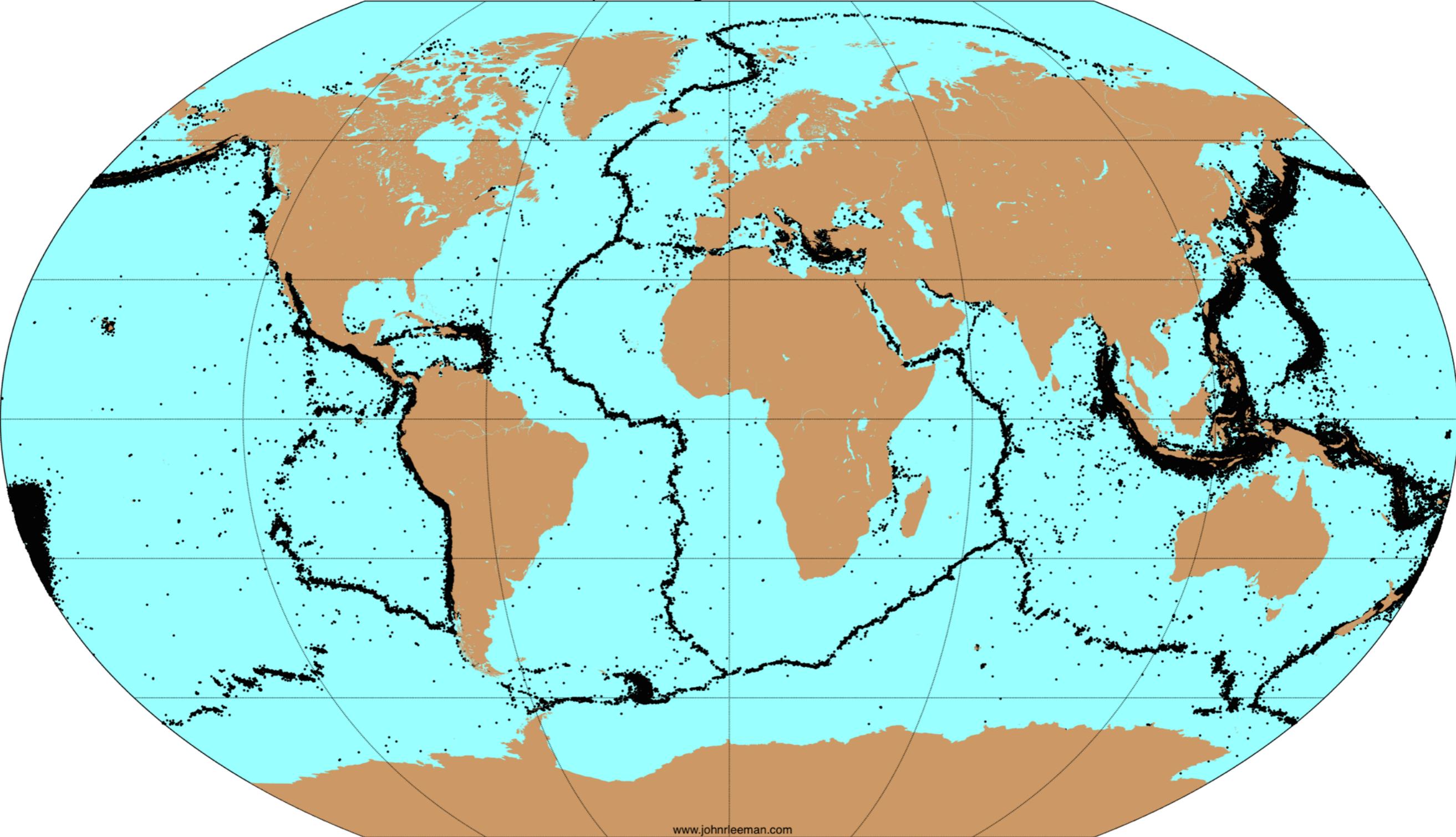
Frictional Theory



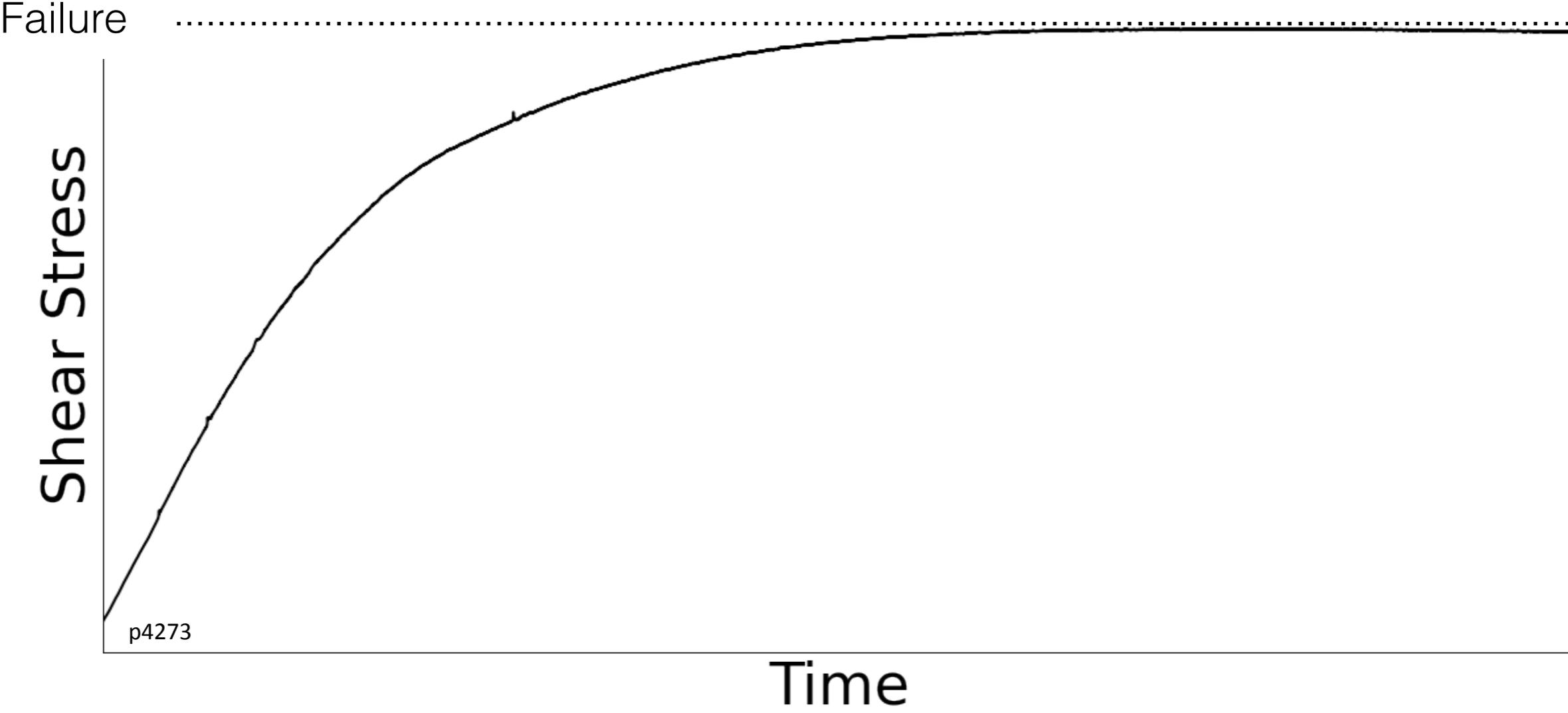
Python Implementation

Most earthquakes occur on plate boundaries

World Earthquakes Magnitude > 4 from 1980 - 2015



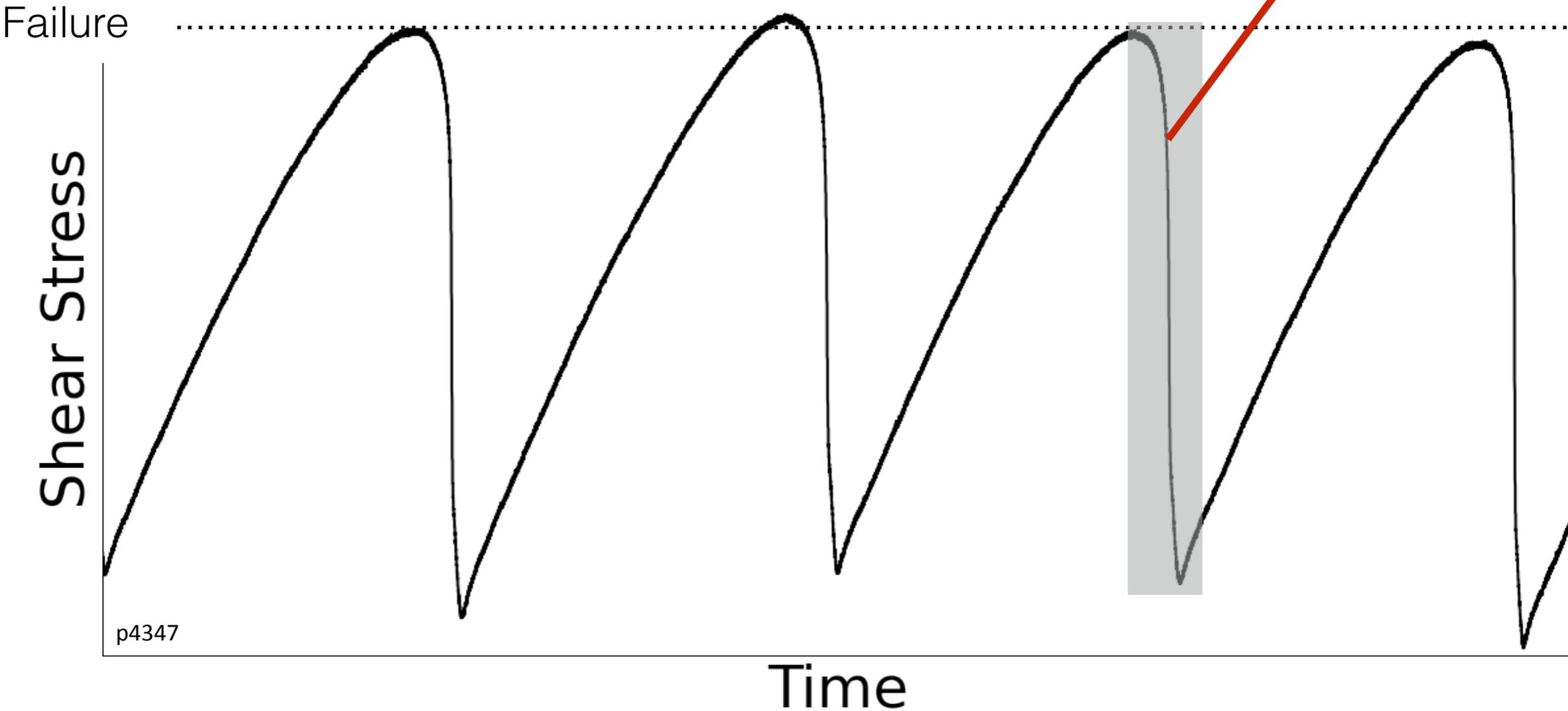
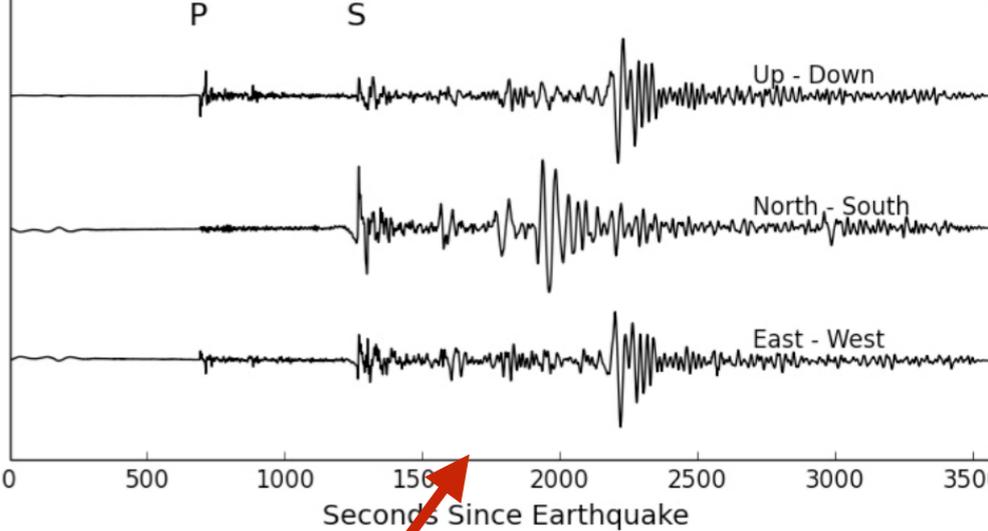
Faults can creep in an aseismic (stable) fashion



Creeping faults present some infrastructure risks



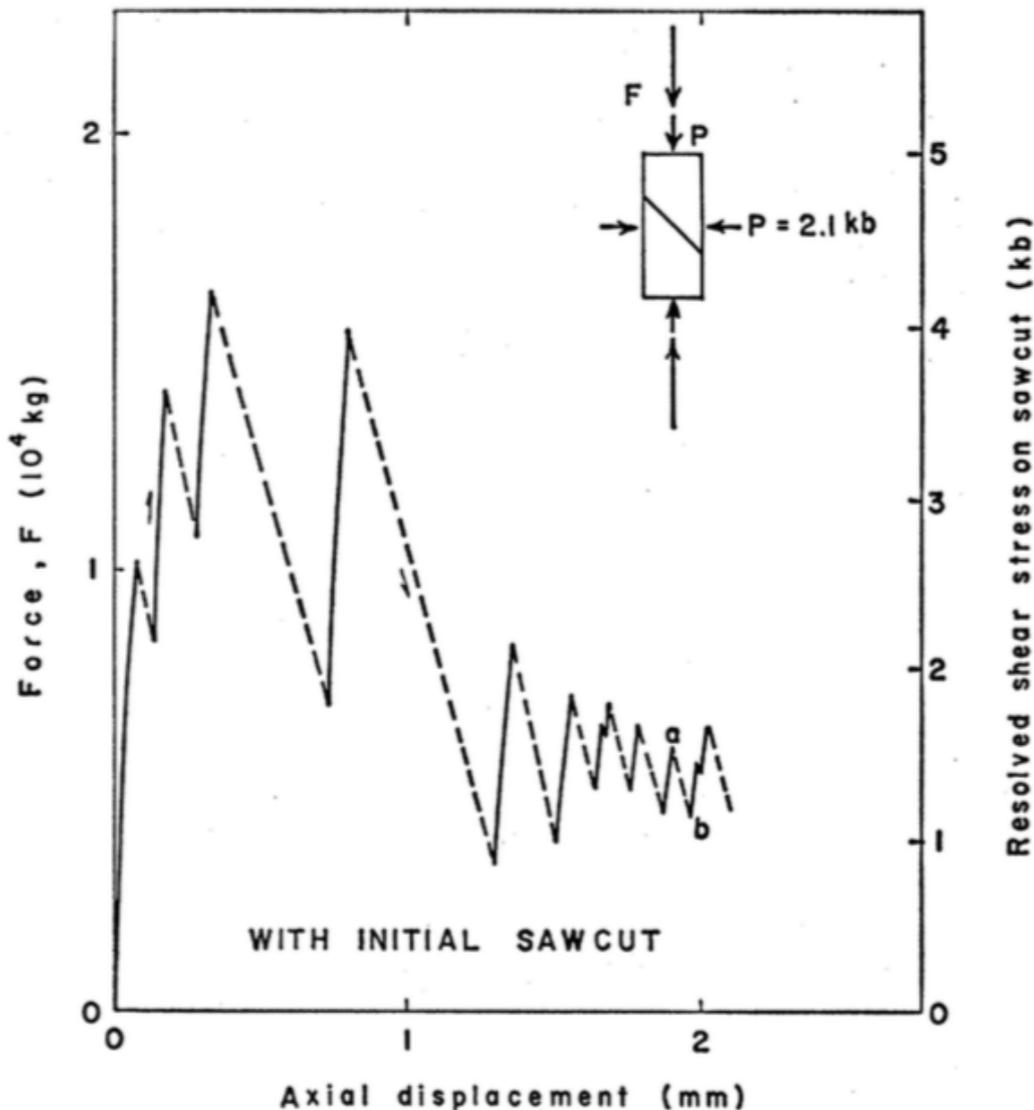
Faults can fail in an unstable and dynamic way that produces seismic radiation



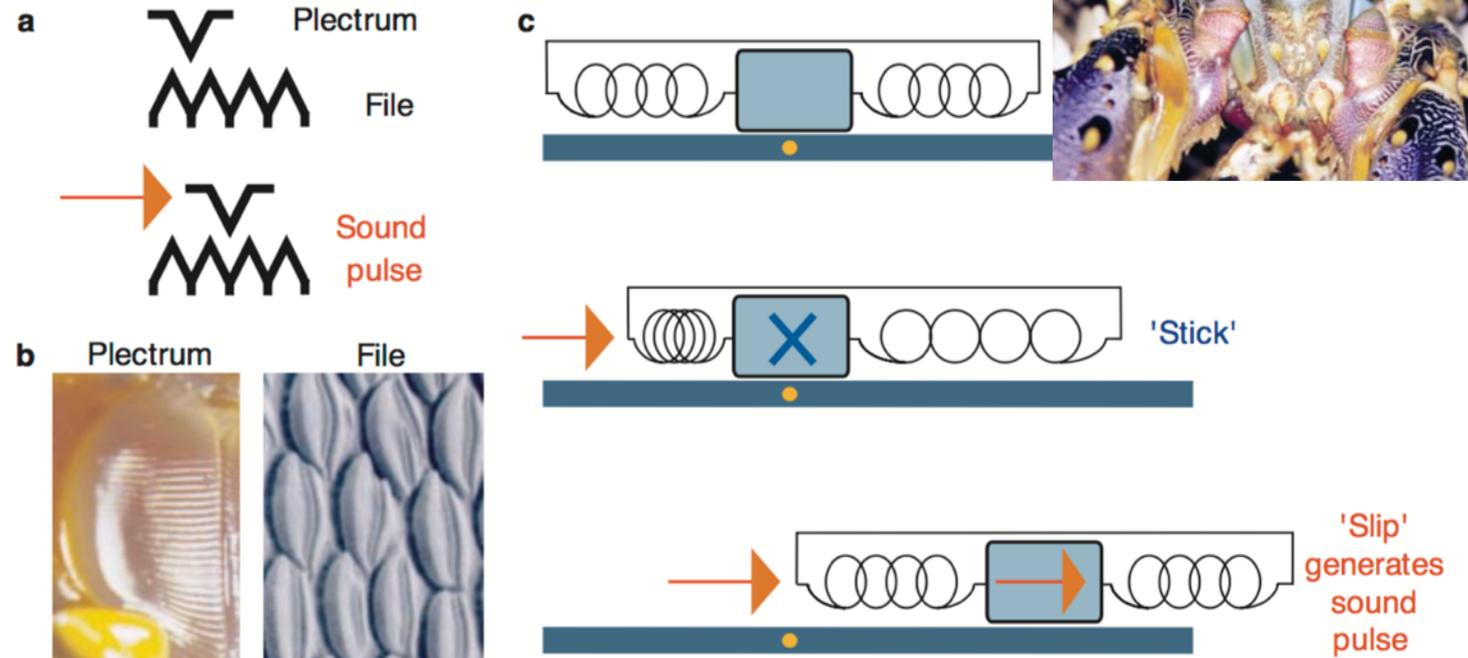
We have conceptualized earthquakes as a stick-slip process

Stick-Slip as a Mechanism for Earthquakes

Abstract. Stick-slip often accompanies frictional sliding in laboratory experiments with geologic materials. Shallow-focus earthquakes may represent stick-slip during sliding along old or newly formed faults in the earth. In such a situation, observed stress drops represent release of a small fraction of the stress supported by the rock surrounding the earthquake focus.



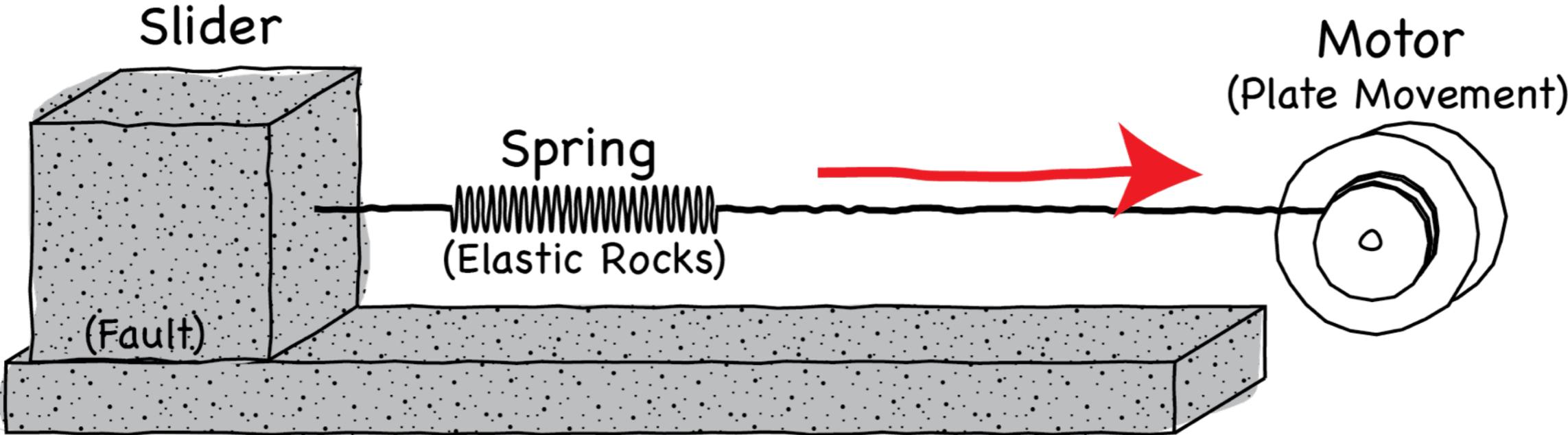
(Brace and Byerlee, 1966)



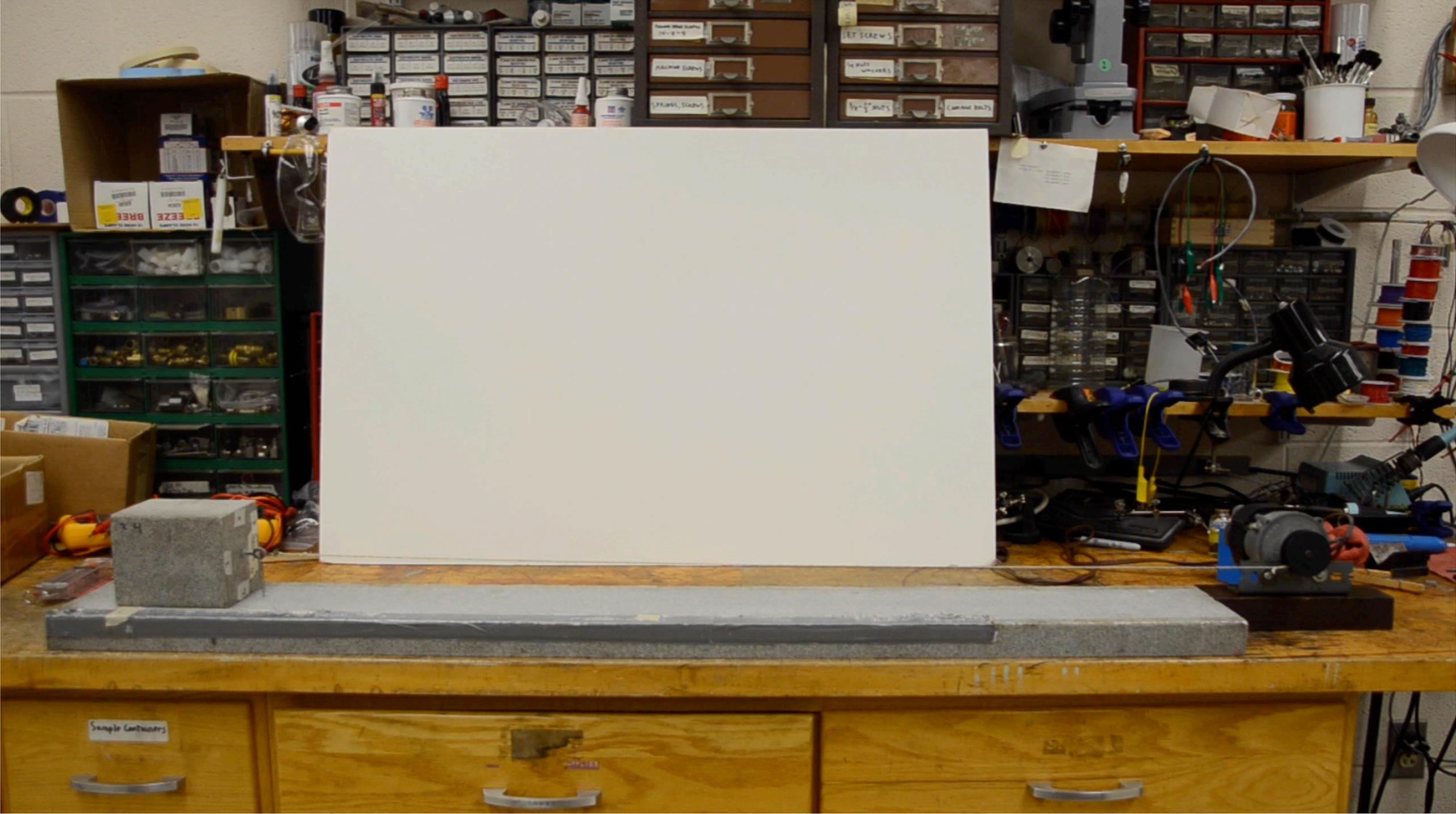
(Patek, 2001)



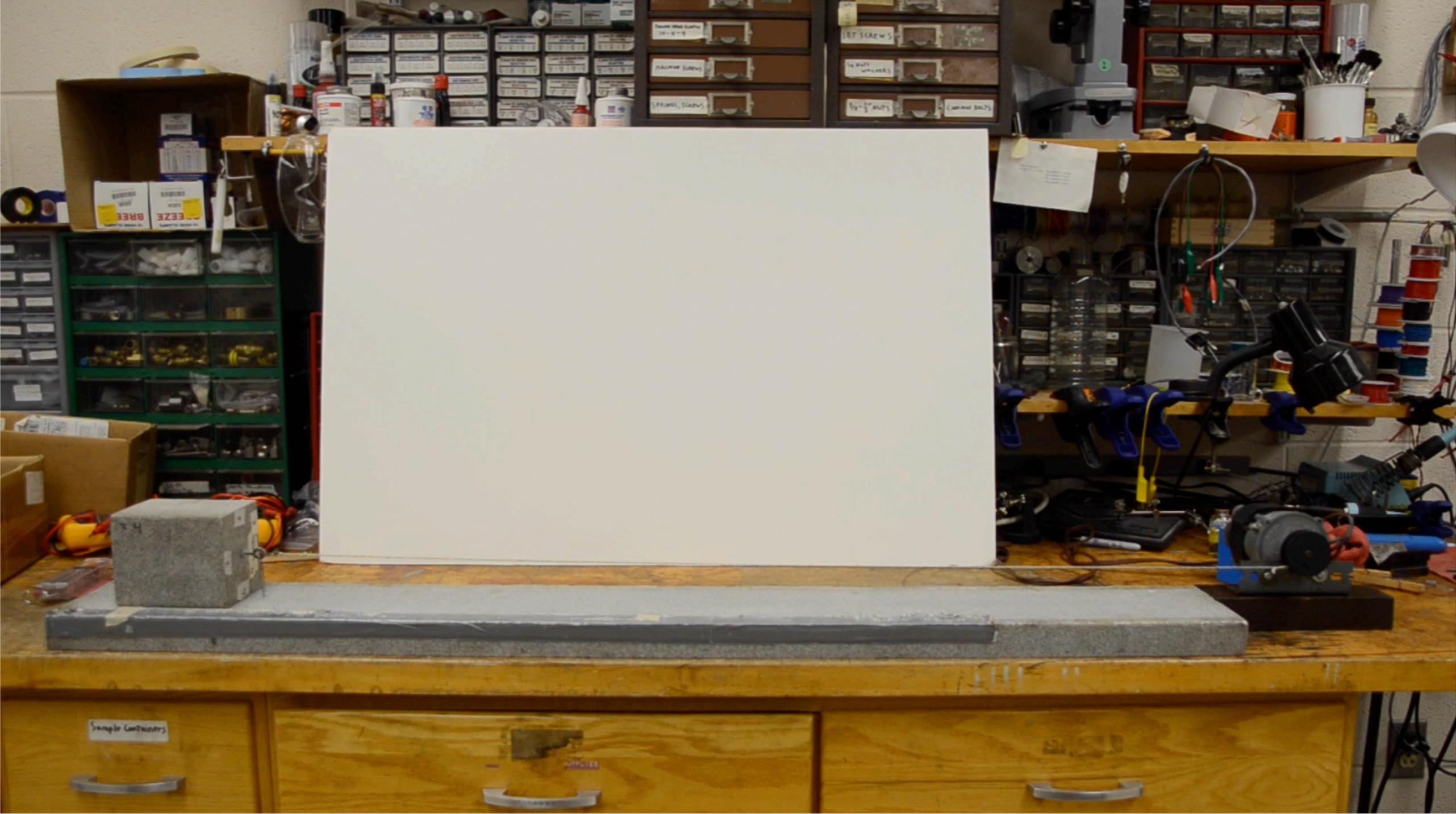
The spring-slider is the simplest physical model



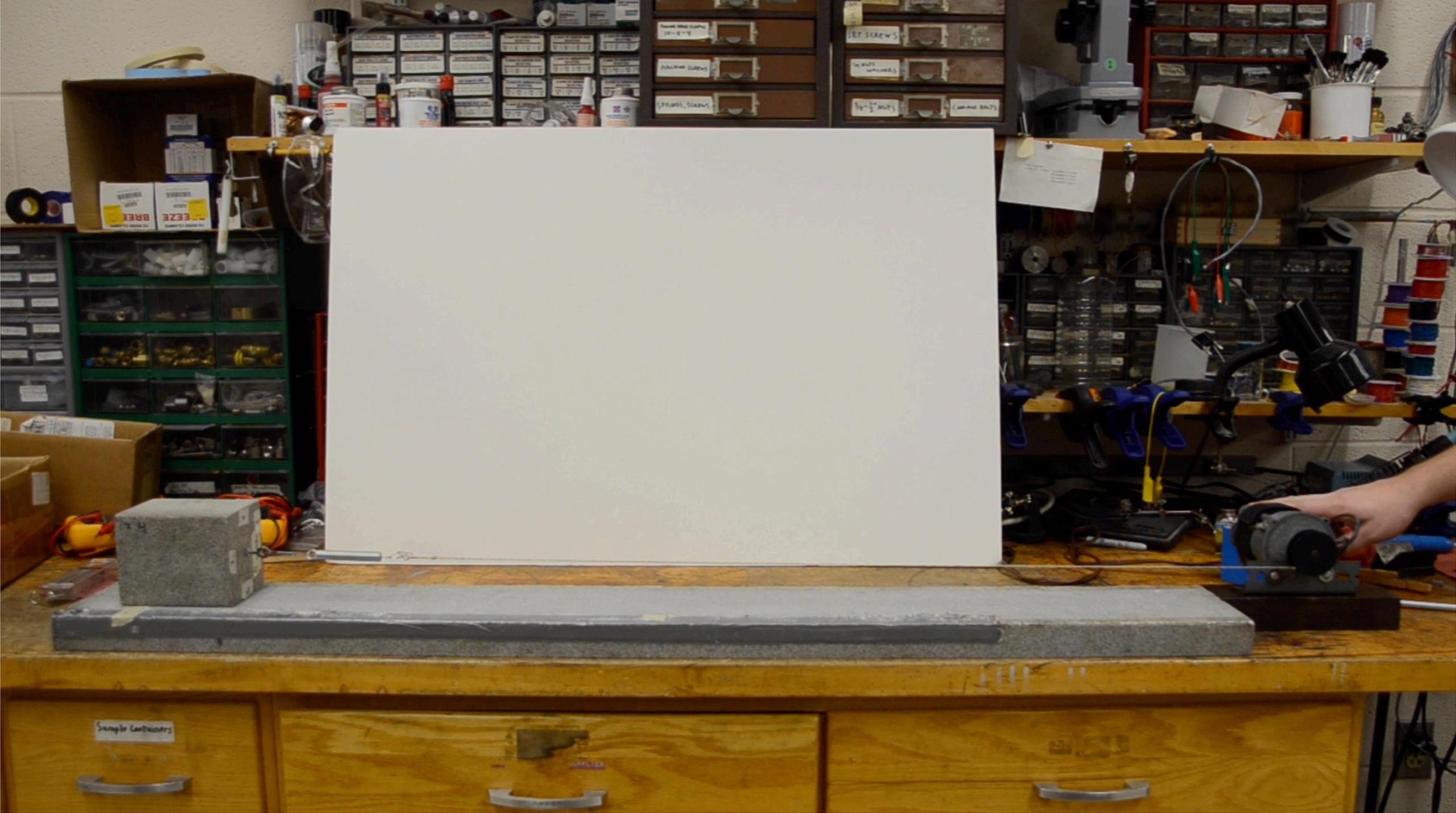
Small changes in stiffness can completely change the behavior of even the simplest system



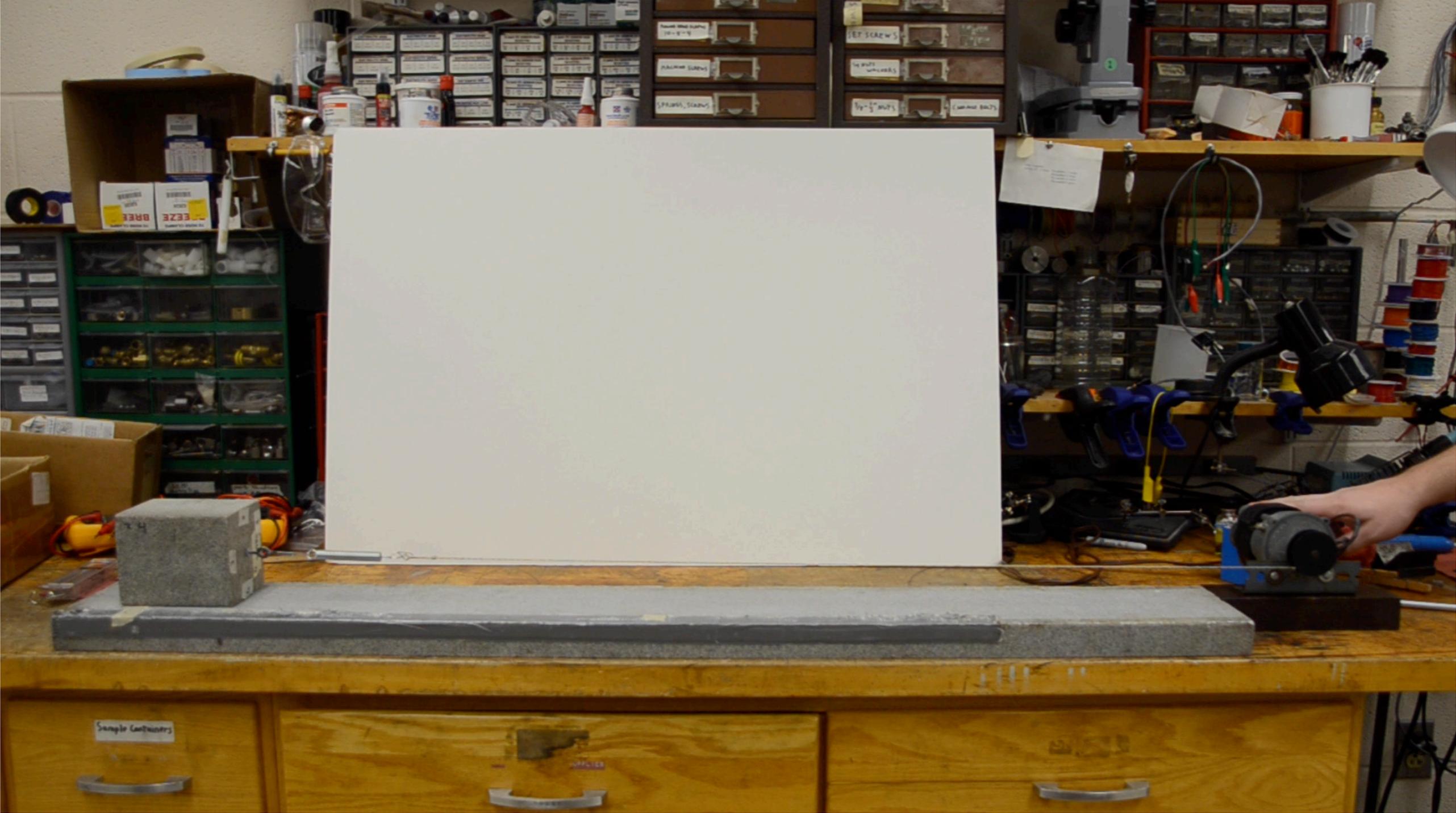
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Small changes in stiffness can completely change the behavior of even the simplest system



We can model the system with the “rate-and-state” equations

$$\frac{d\mu}{dt} = k \left(V - V_0 \exp \left[\frac{\mu - \mu_0 - b \ln\left(\frac{V_0 \theta}{D_c}\right)}{a} \right] \right)$$

$$\frac{d\mu}{dt} = k(V_{lp} - V)$$

There are many proposed state relations in the wild

Aging

$$\frac{d\theta}{dt} = 1 - \frac{V_{\text{slider}}\theta}{D_c}$$

Slowness

$$\frac{d\theta}{dt} = -\frac{V_{\text{slider}}\theta}{D_c} \ln \left(\frac{V_{\text{slider}}\theta}{D_c} \right)$$

PRZ

$$\frac{d\theta}{dt} = 1 - \left(\frac{V_{\text{slider}}\theta}{2D_c} \right)^2$$

Nagata

$$\frac{d\theta}{dt} = 1 - \frac{V_{\text{slider}}\theta}{D_c} - \frac{c}{b}\theta \frac{d\mu}{dt}$$

We can create small fault zones in the laboratory



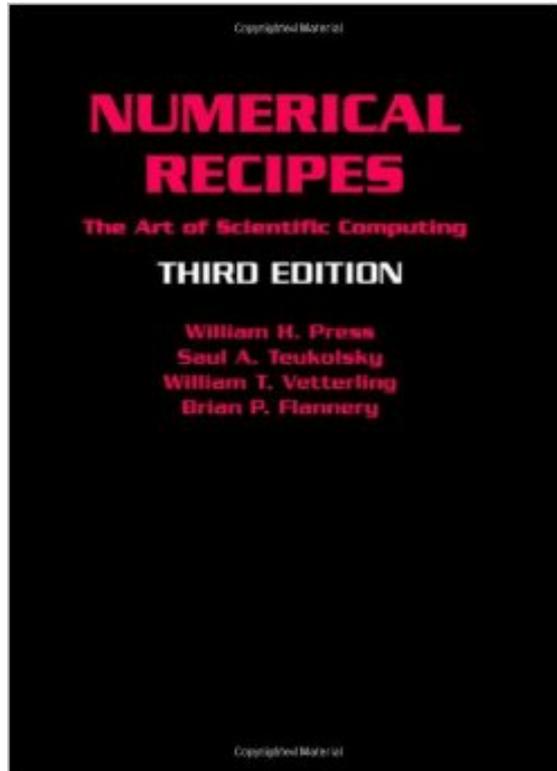
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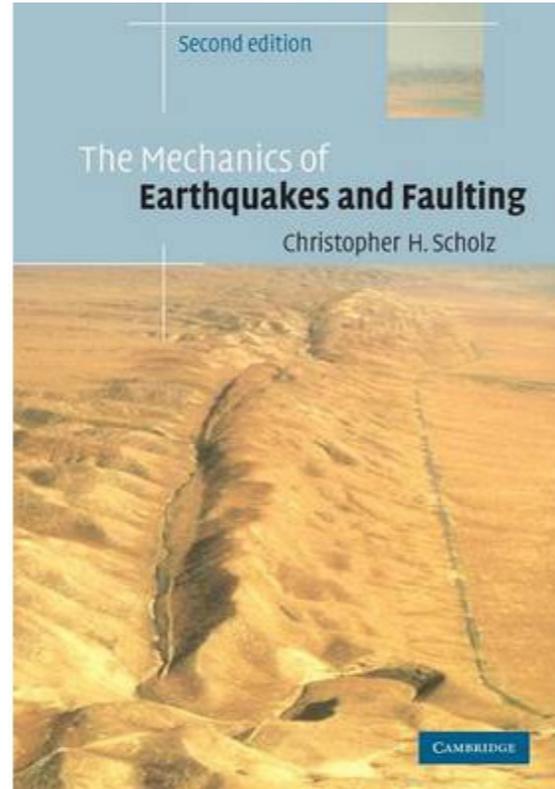
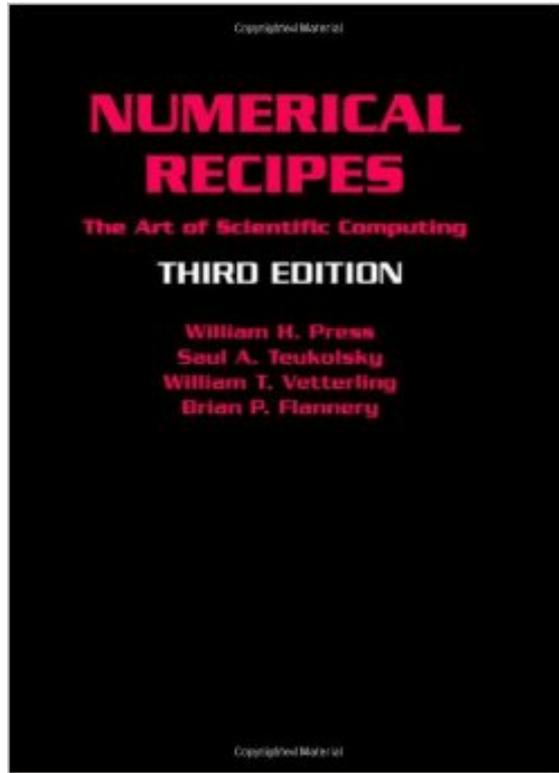
Theory is when you know everything, but nothing works. Practice is when everything works, but no one knows why. In our lab, theory and practice are combined: nothing works and no one knows why.

Everyone had their own program to solve the RSF equations

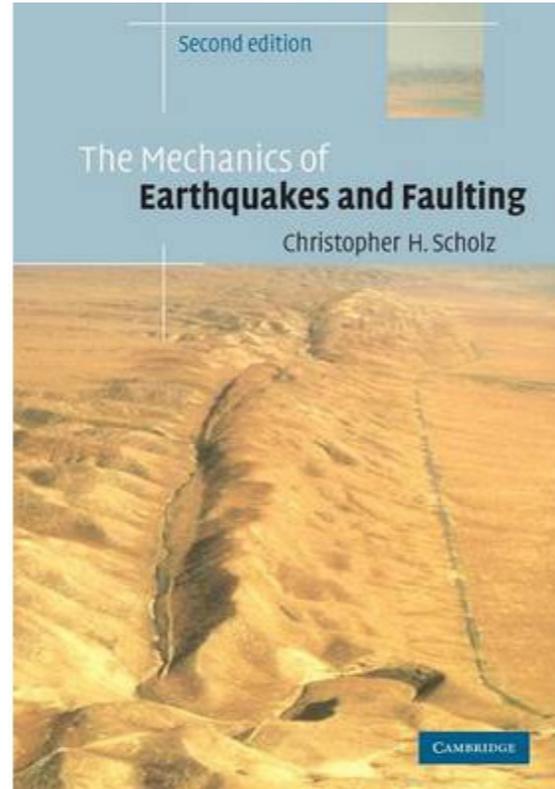
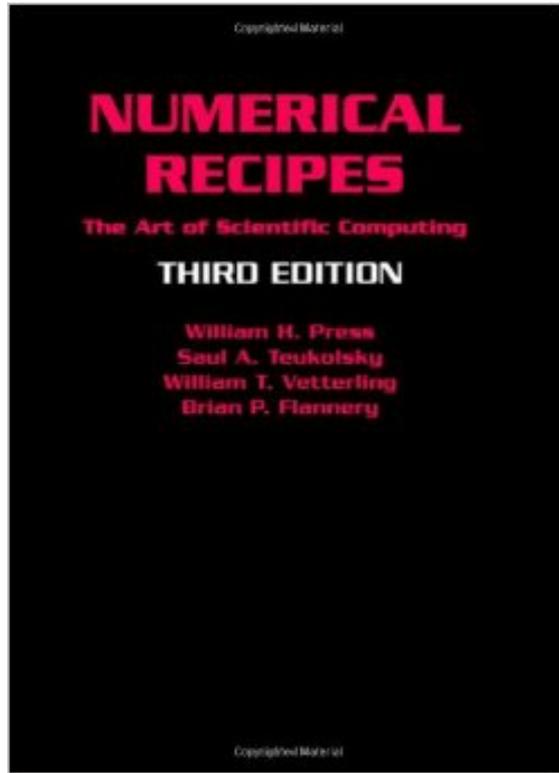
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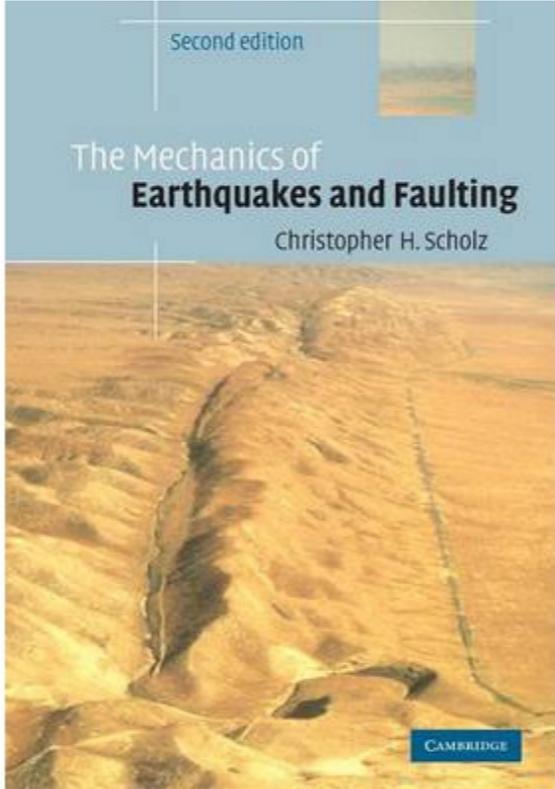
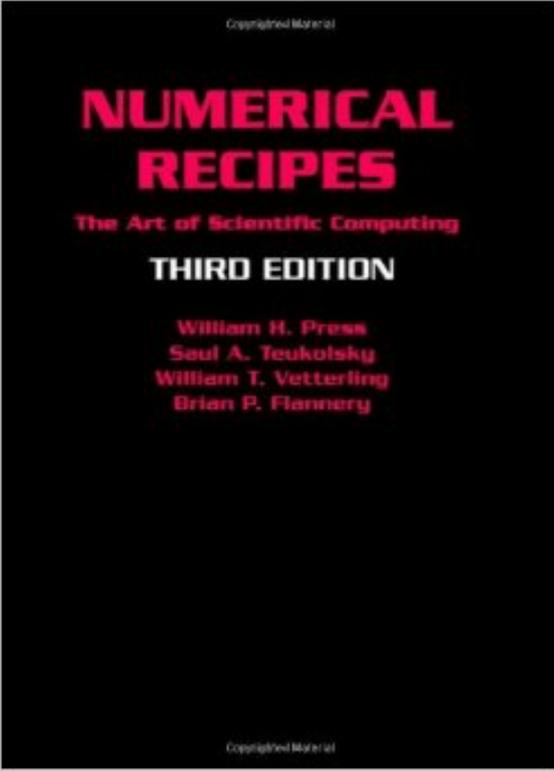
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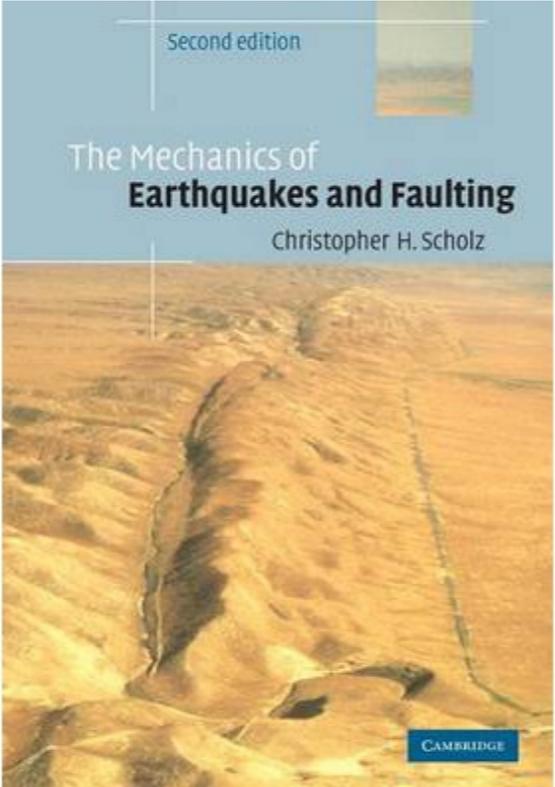
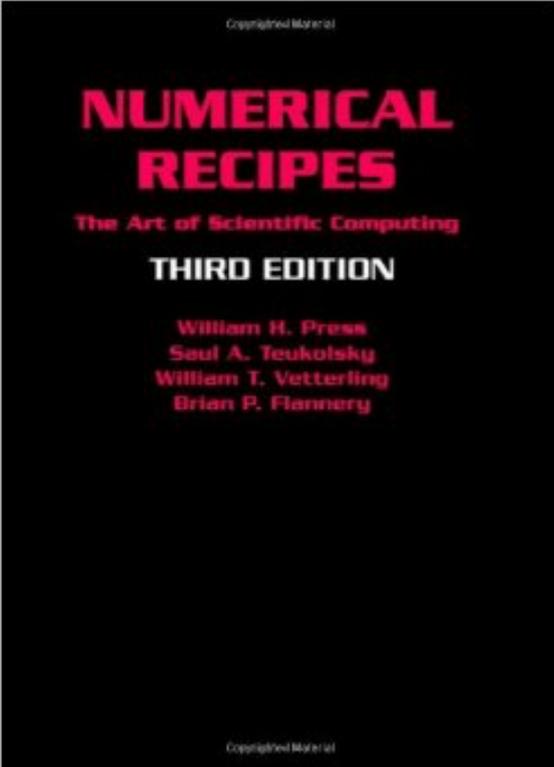
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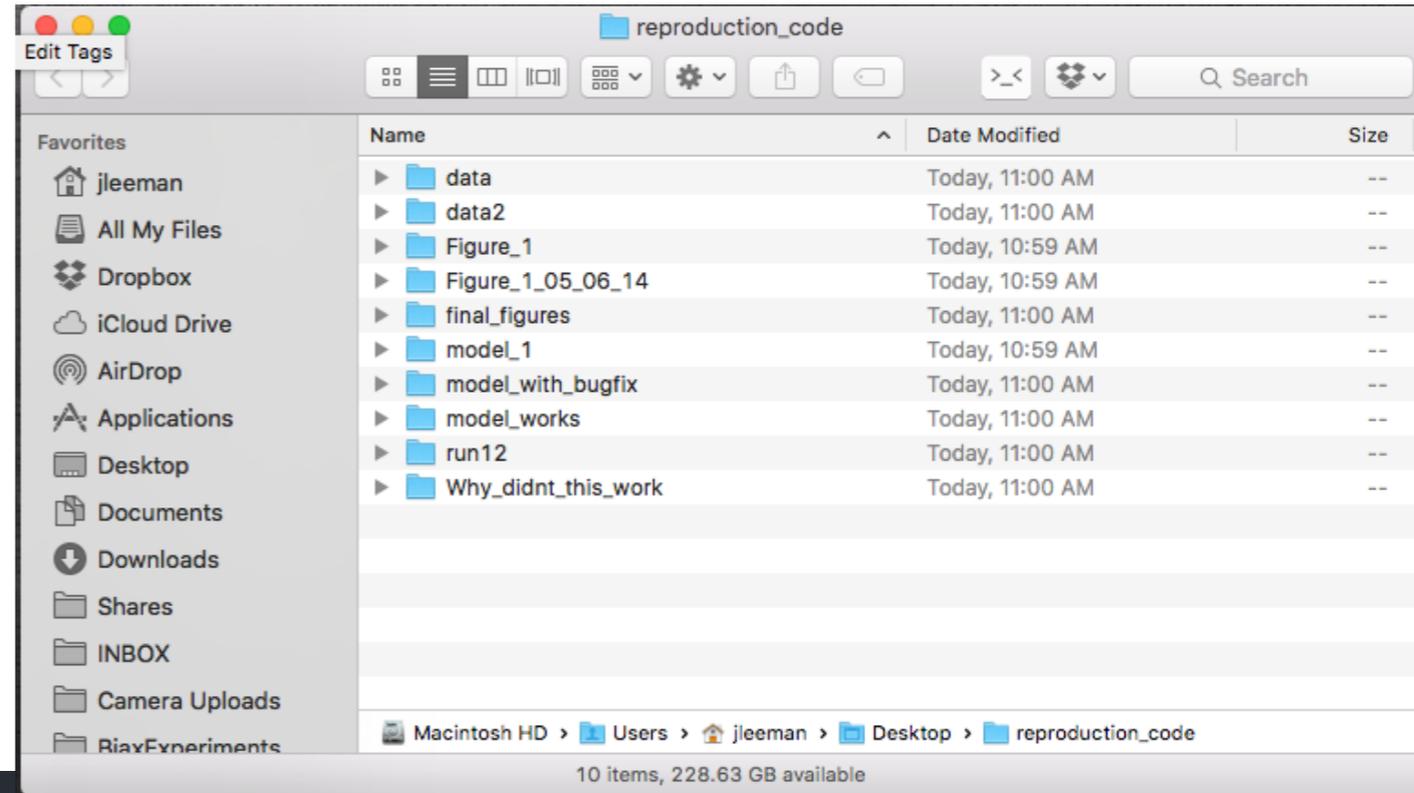
```
switch(rsp->law) /* set up initial value of state (note I use psi for theta)*/
{
  /* assume steady state for initial v*/
  case 'o':
    psi1 = psi2 = -log(v_s/rsp->v_ref);
    psi_err_scale = fabs(log(v_s/rsp->v_ref));
    break;
  case 'r':
  case 'd':
    psi1 = rsp->dc1/v_s; /*this will be the same for theta version of r and d, note that */
    psi2 = rsp->dc2/v_s; /* s and S will also use same state */
    break;
  case 'p':
    rsp->vr_dc1 = rsp->v_ref/(TWO*rsp->dc1); /*modify for Perrin-Rice law*/
    rsp->vr_dc2 = rsp->v_ref/(TWO*rsp->dc2); /*note: this is set above as rsp.vr_dc..*/
    psi1 = (TWO*rsp->dc1)/v_s;
    psi2 = (TWO*rsp->dc2)/v_s;
    break;
  case 'j': /*check this later, just a guess now 15/7/94 */
    fprintf(stderr,"rice law not implemented\n");
    return(-1);
    break;
}
if(rsp->one_sv_flag)
  rsp->vr_dc2 = SMALL_NUM; /*b2 is zero for lsv model, set dc2 to very large number*/

for(i=1;i<=(rsp->vs_row-rsp->first_row);i++) /*fill with prejump mu*/
{
  mod_mu[i] = mu_s+rsp->lin_term*(x[i]-x[(rsp->vs_row-rsp->first_row)]);
  v_slider[i] = v_s;
  state[i] = psi1;
  sd[i]=0.0;
  phi[i] = phi_ref - epsilon*log(psi1*rsp->vr_dc1);
}

i=(rsp->vs_row-rsp->first_row+1); /*first point after vs_row*/
```

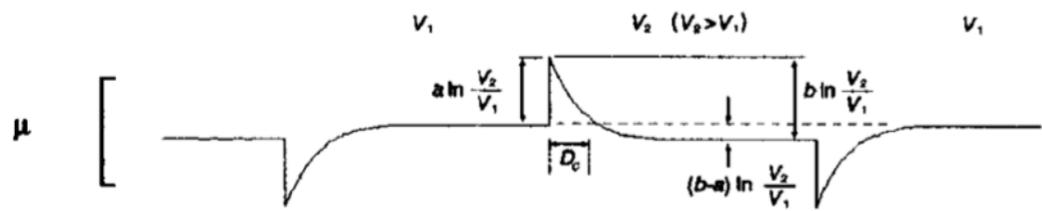


If you can get those programs and try to reproduce the results, it often ends in tears

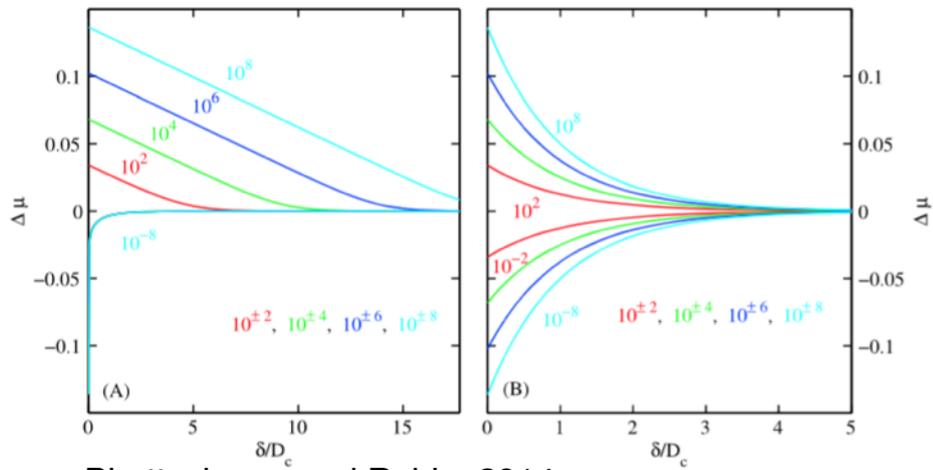


```
140 # Moduli from Dvorkin 1999, density from wikipedia
141 feldspar = Component('Feldspar', 'Solid')
142 feldspar.volume_percent = 0.26
143 feldspar.bulk_modulus = 76e9
144 feldspar.shear_modulus = 26e9
145 feldspar.density = 2560
146
147 ## ONLY Works with this commented out?
148 # model.critical_porosity = 0.34
149
150 model = MediumModel([quartz, clay, feldspar])
151 model.critical_porosity = 0.4
152 model.n = 8
```

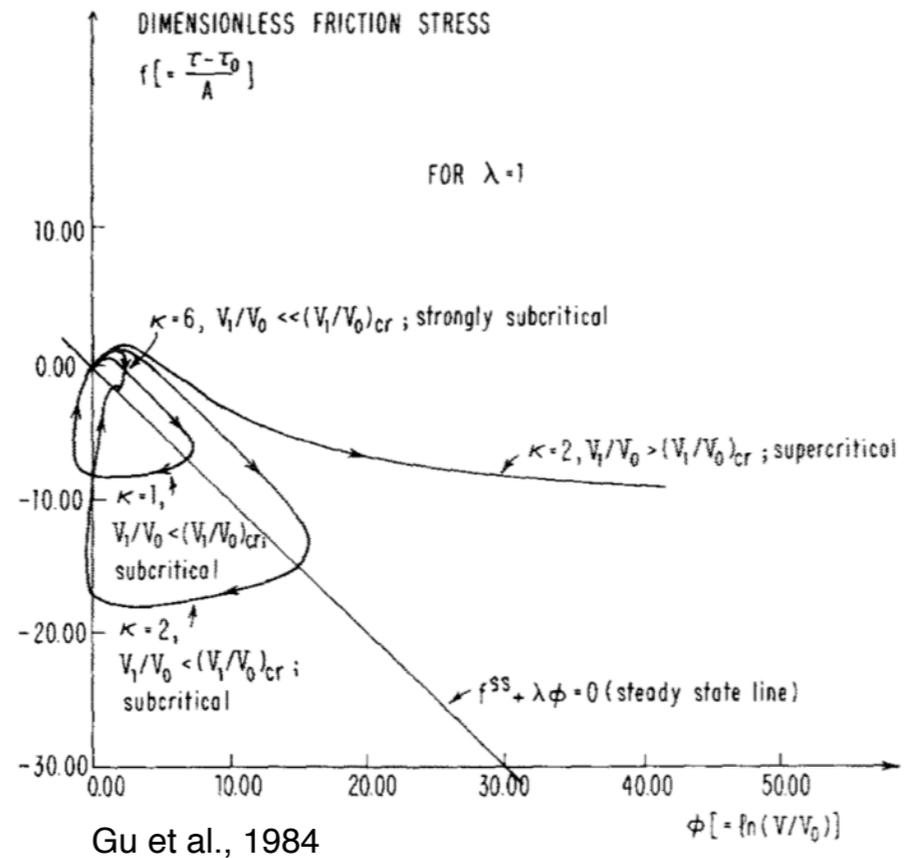

The results often looked "right" or "comparable"



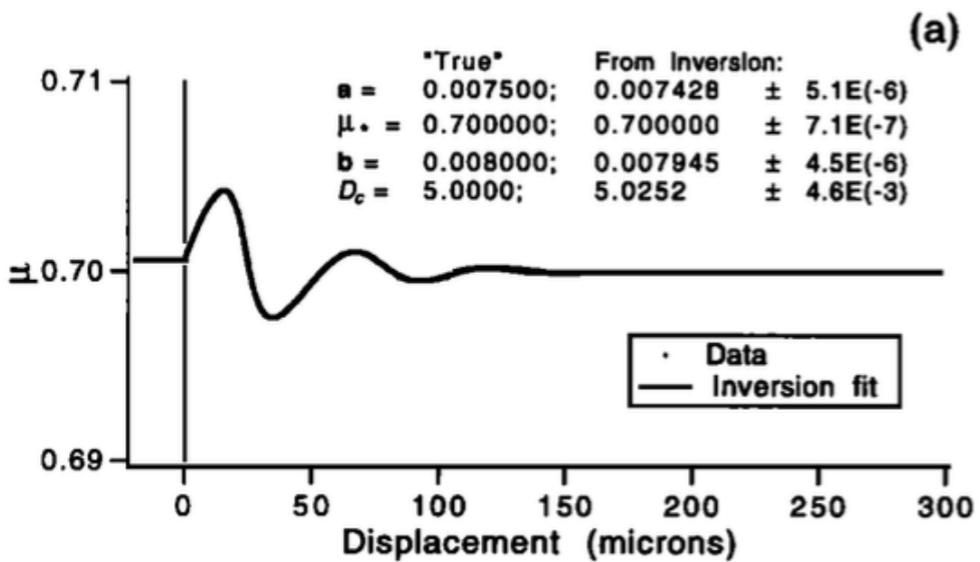
Marone, 1998



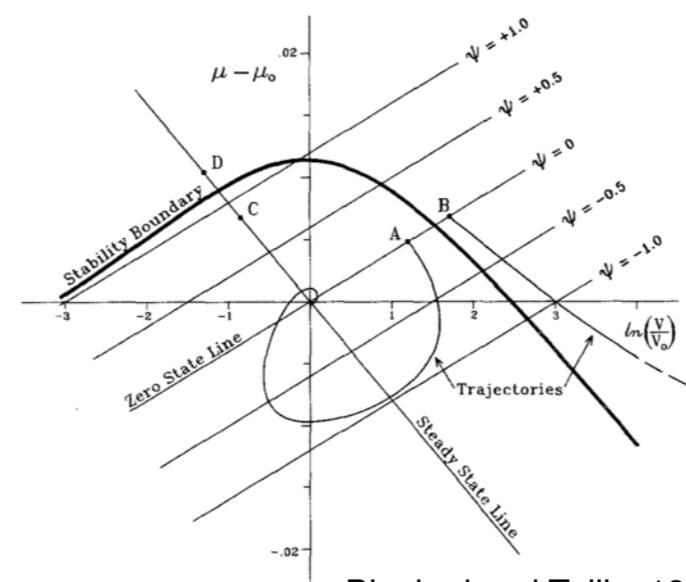
Bhattacharya and Rubin, 2014



Gu et al., 1984

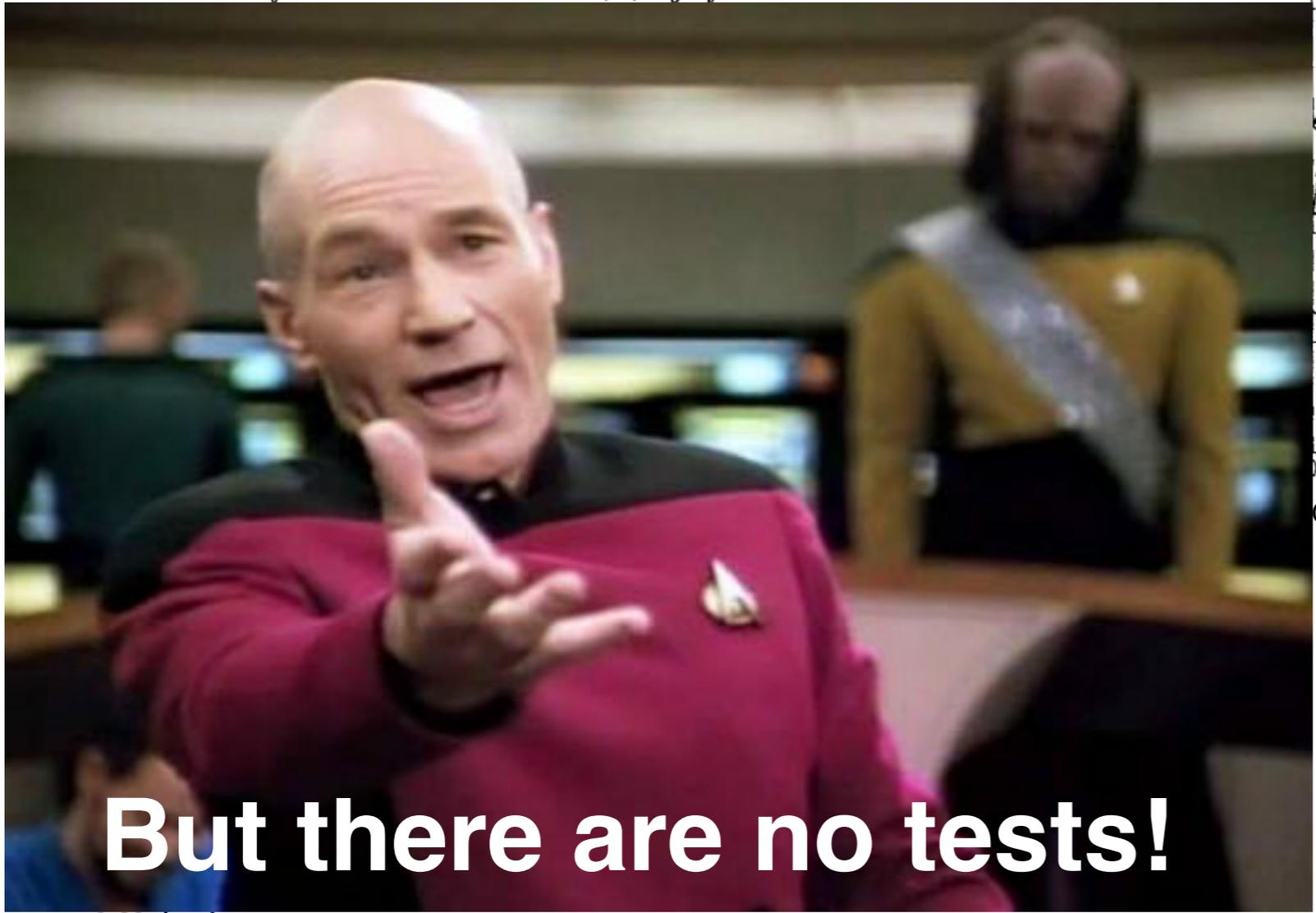
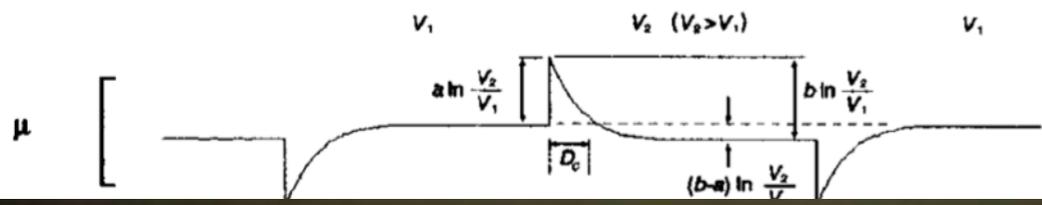


Reinen and Weeks, 1993

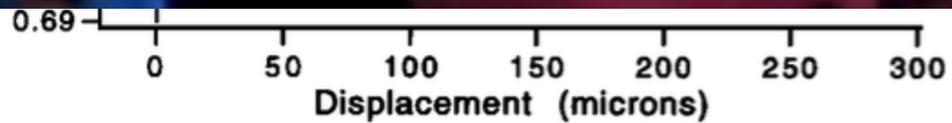


Blanked and Tullis, 1986

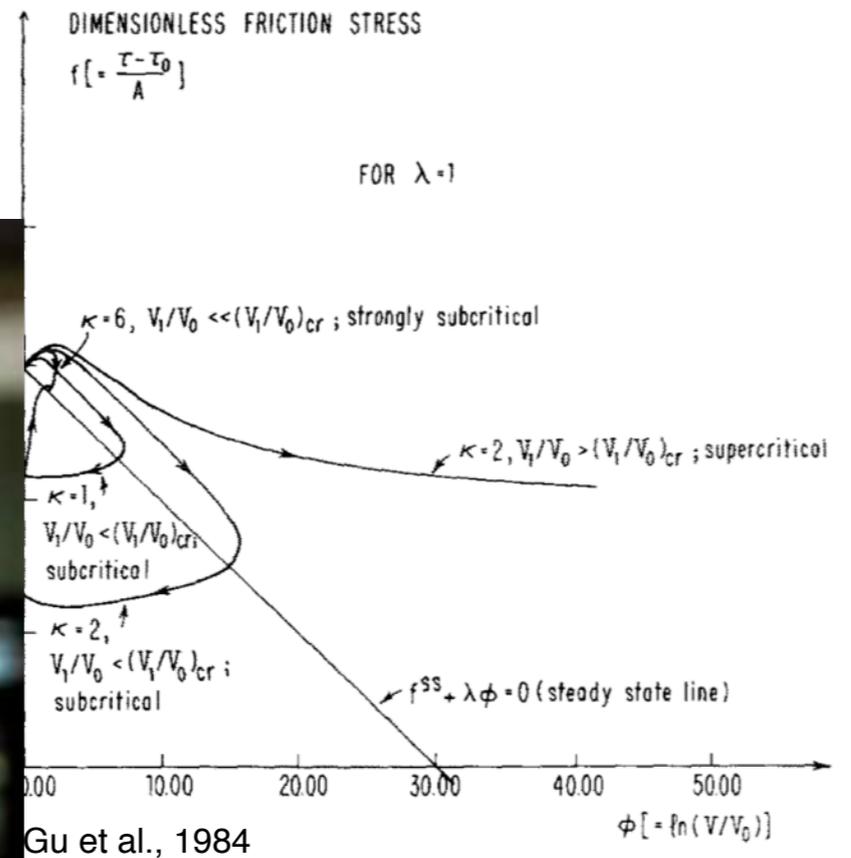
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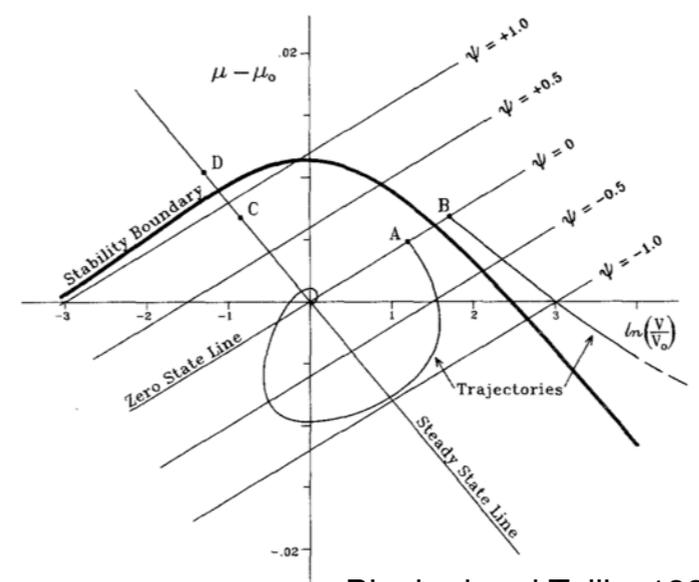
But there are no tests!



Reinen and Weeks, 1993



Gu et al., 1984



Blanked and Tullis, 1986

The tools were not friendly to students either

This program solves the rate and state friction laws with 1-d elastic interaction. One or two state variables can be used.

Usage: ./rsfs op_file law v_init v_load hold_time op_inc_hold op_inc_disp max_disp k a b1 Dc1 b2 dc2 -svtd

where law is: d, r, p, or s

d=Dieterich, slowness law, r=Runia, slip law,

p=Perrin-Rice, quadratic law, s=Segall and Rice eq'n 17

-t = output time vs mu

-v = output $\ln(v_s/v_{init})$ vs mu

-s = output time vs state(theta)

-d = output Slider_Displacement vs mu

-f = output time vs porosity

-p = stop calculation at peak friction on reload, write a data point there

-r = set ref fric to 0.6 at 1 mic/s, rather than to arb. value to give 0.6 as initial value

LoadPointDisplacement vs mu is always output, and lp_dis vs. porosity is output if s law is used

Command line definitions:

v_init is the initial, steady-state velocity prior to the hold

v_load is the reload velocity following the hold

hold_time is the hold time in seconds

op_inc_hold is the time increment for output during the hold

op_inc_disp is the disp increment for output following the hold

disp is the final disp for the calc, following the hold

To do a 1 state variable model, set dc2 < 0

To do a vel step, set hold_time to a small number and op_inc_hold < hold_time, and use different v_init and v_load

Note: k should have dimensions of 1/length

One or more ASCII text files are written as output. Each row has two columns (time, displacement, friction, etc --as chosen by command line options summarized above) and the output frequency is specified by the command line parameters op_inc_hold and op_inc_disp

Two example command lines are:

```
rsfs junk d 1 1 100 .1 .1 200 1e-3 0.005 0.01 10 1 -10 -t
```

```
rsfs junk d 1 10 1e-7 5e-8 0.1 300 1e-3 0.005 0.01 10 1 -10 -t
```

The first example specifies a slide-hold-slide test with one state variable. The output file name is junk, the dieterich state evolution law is used, the initial and re-load velocities are 1 micron/s, hold time is 100 sec, output is written every 0.1 sec during the hold and 0.1 micron after the hold up to a maximum of 200 microns, stiffness is 0.001 (friction) per micron, and constitutive parameters are a=0.005, b=0.01 and Dc of 10 microns. Note that there is nothing intrinsic about the units; the length unit could just as well be taken as meters and the time as hours. The only requirement is that all units be consistent. The files junk.dis and junk.tim are output. Each file contains a one line header and then x,y pairs of: junk.dis: load point displacement and friction junk.tim time and friction.

The second example specifies a velocity step test from 1 mic/s to 10 mic/s. There are two data points written during the 'hold' which lasts only 1e-7 seconds (these are irrelevant to the rest of the calculation) and then data are written every 0.1 micron up to a maximum of 300 microns. The friction parameters and output files are the same as the first example.

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Research



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Teaching

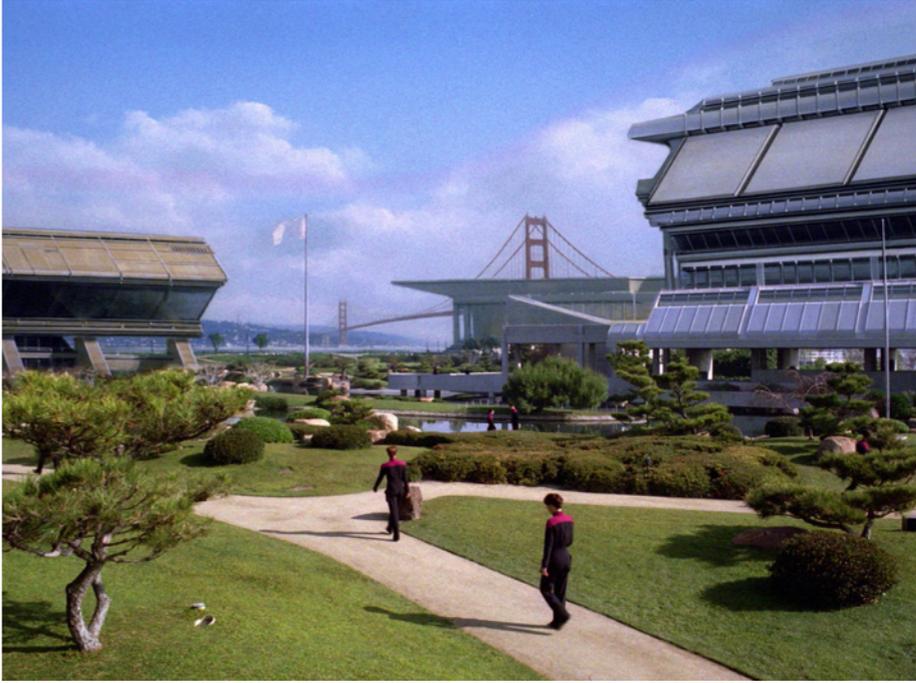


The requirements were simple:

Research



Teaching



Best Practices



Include the common state relations and elastic coupling

```
model = rsf.Model()

# Set model initial conditions
model.mu0 = 0.6 # Friction initial (at the reference velocity)
model.a = 0.005 # Empirical coefficient for the direct effect
model.k = 1e-3 # Normalized System stiffness (friction/micron)
model.v = 1. # Initial slider velocity, generally is vlp(t=0)
model.vref = 1. # Reference velocity, generally vlp(t=0)

state1 = staterelations.DieterichState()
state1.b = 0.01 # Empirical coefficient for the evolution effect
state1.Dc = 10. # Critical slip distance

model.state_relations = [state1] # Which state relation we want to use

# We want to solve for 40 seconds at 100Hz
model.time = np.arange(0,40.01,0.01)

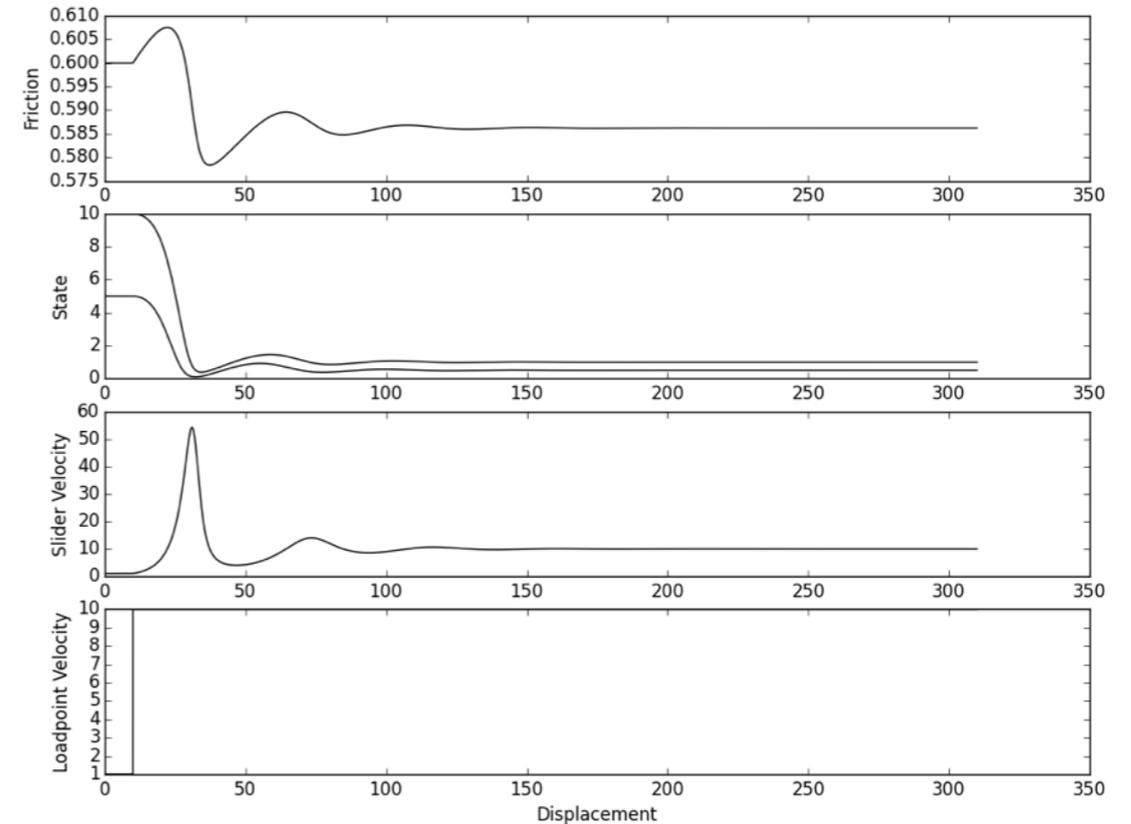
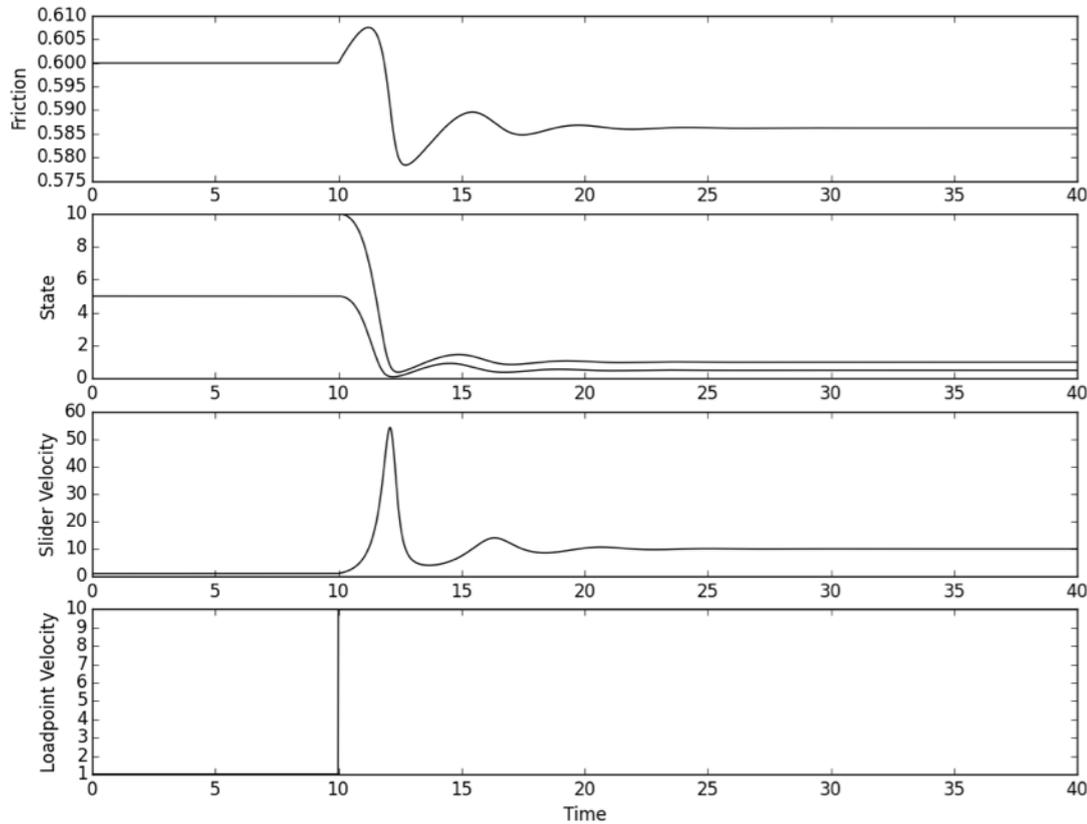
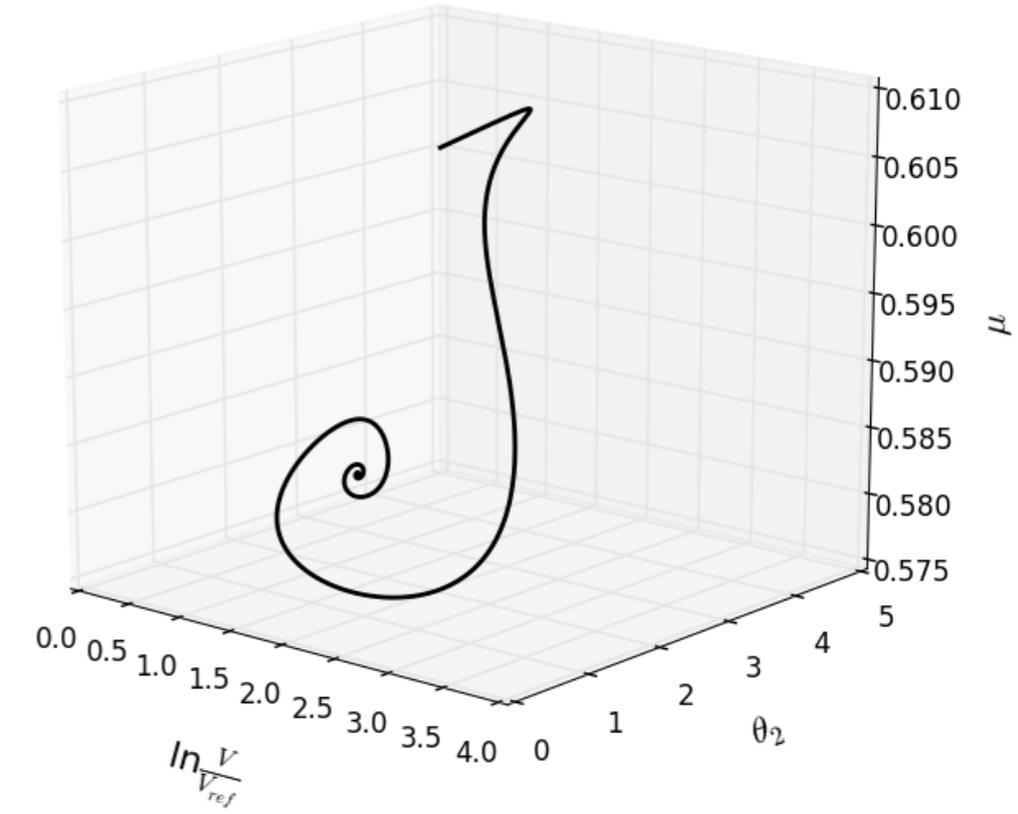
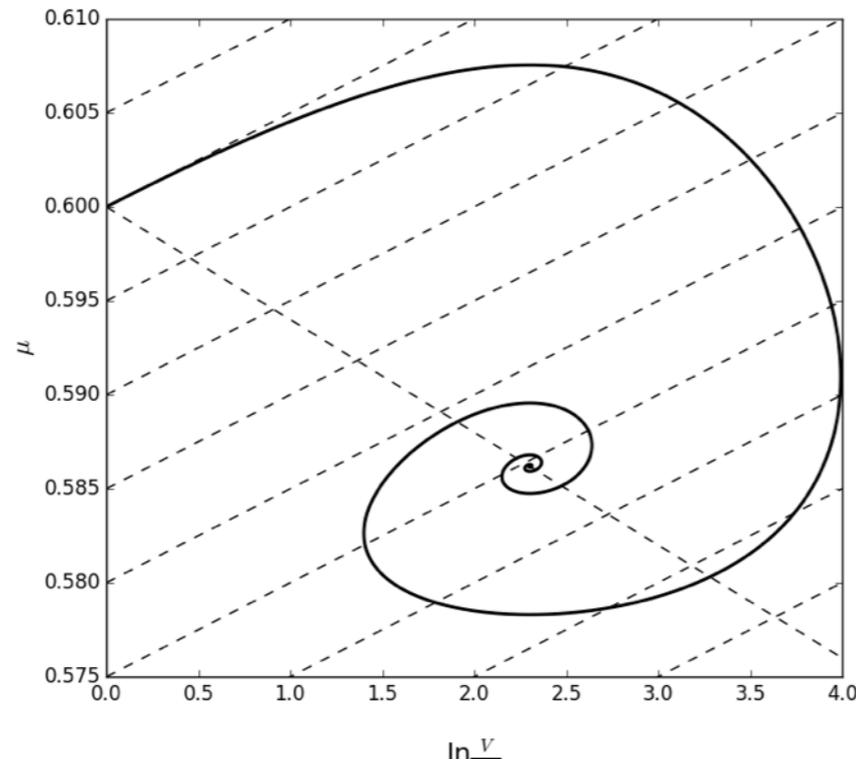
# We want to slide at 1 um/s for 10 s, then at 10 um/s for 31
lp_velocity = np.ones_like(model.time)
lp_velocity[10*100:] = 10. # Velocity after 10 seconds is 10 um/s

# Set the model load point velocity, must be same shape as model.model_time
model.loadpoint_velocity = lp_velocity

# Run the model!
model.solve()
```

Easily make “standard” plots

```
# Make the phase plot  
plot.phasePlot(model)  
  
# Make a plot in displacement  
plot.dispPlot(model)  
  
# Make a plot in time  
plot.timePlot(model)
```



Allow users to define their own rules

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Define it:

```
class MyStateRelation(staterelations.StateRelation):  
    # Need to provide a steady state calculation method  
    def set_steady_state(self, system):  
        self.state = self.Dc/system.vref  
  
    def evolve_state(self, system):  
        return -1 * (system.v * self.state / self.Dc) * log(system.v * self.state / self.Dc)
```

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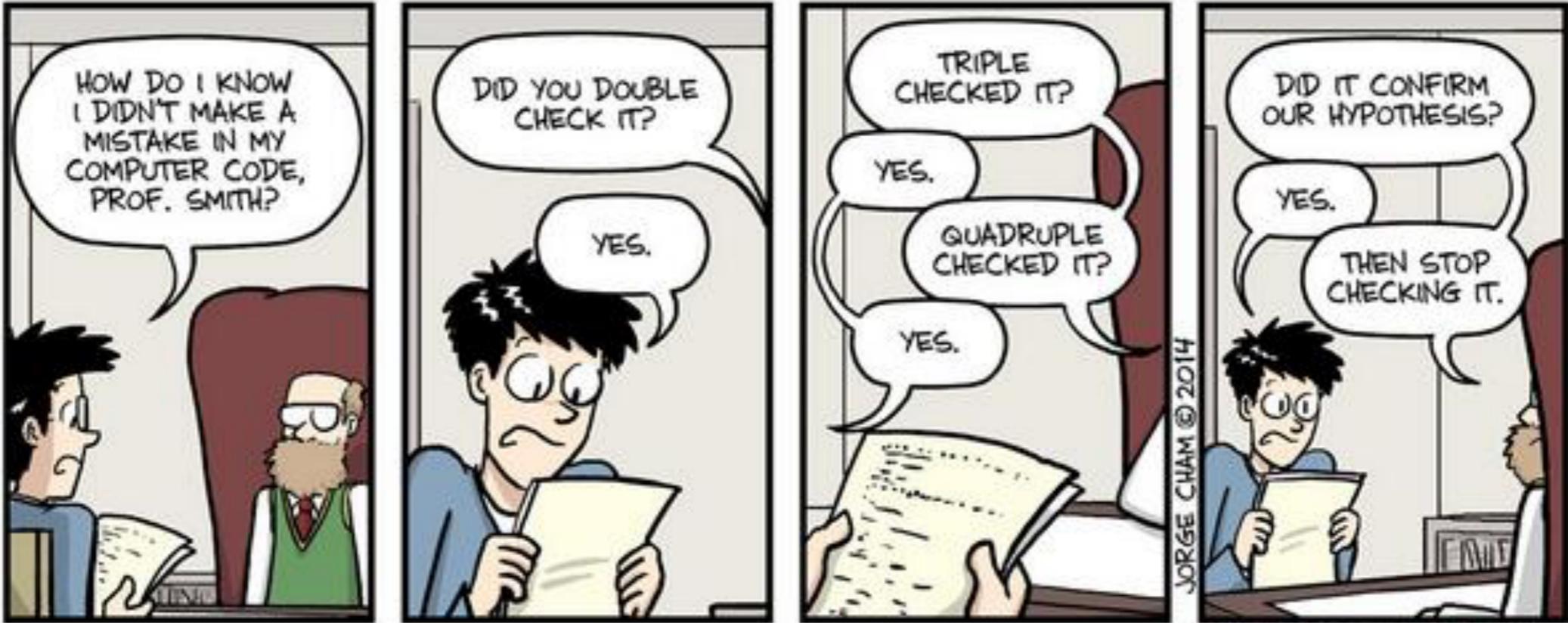
Use it:

```
state1 = MyStateRelation()
state1.b = 0.005 # Empirical coefficient for the evolution effect
state1.Dc = 10. # Critical slip distance

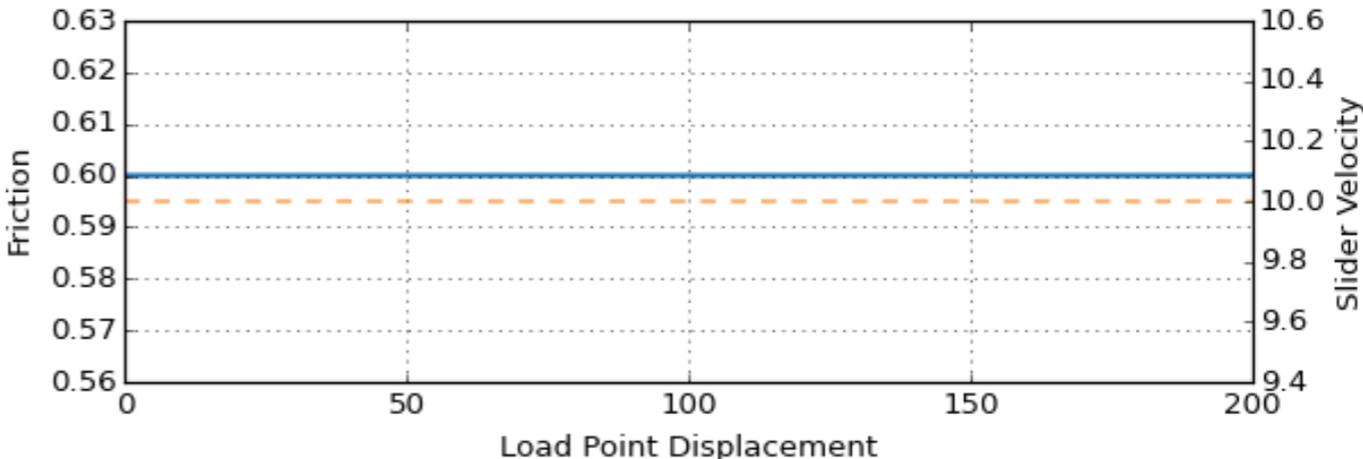
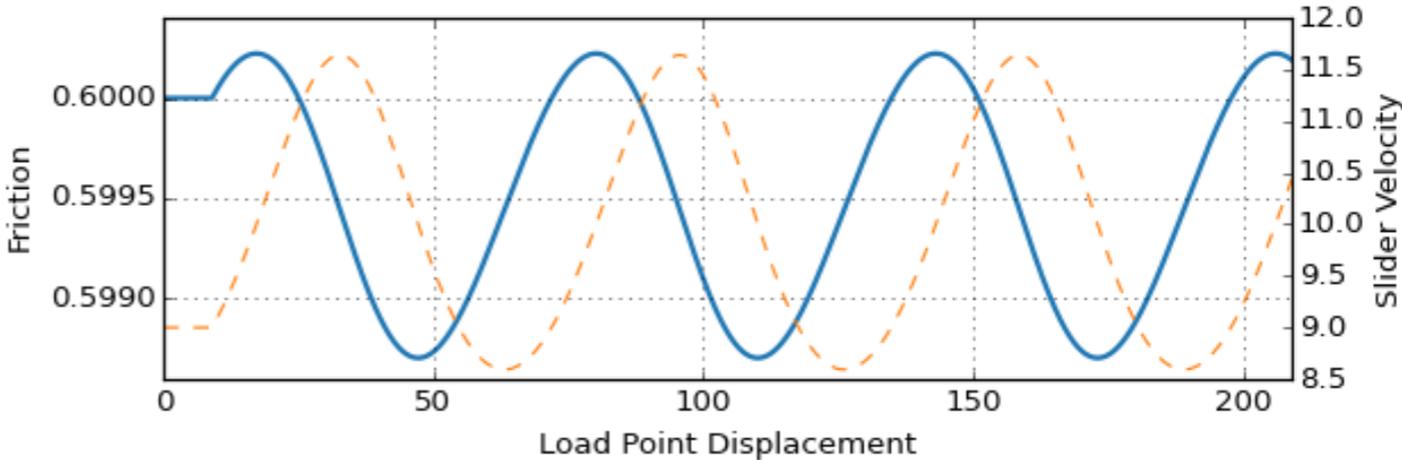
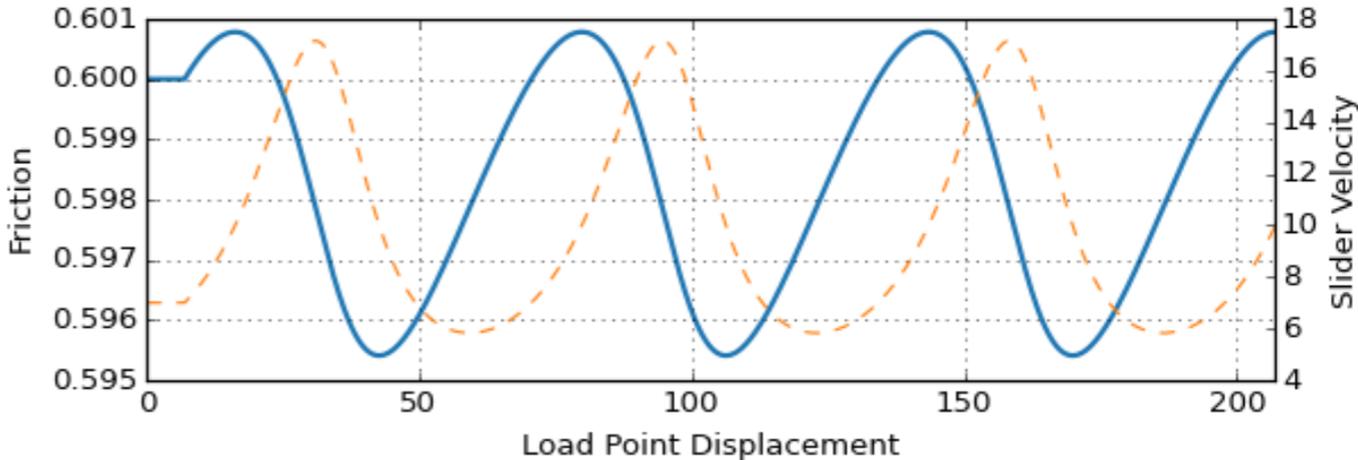
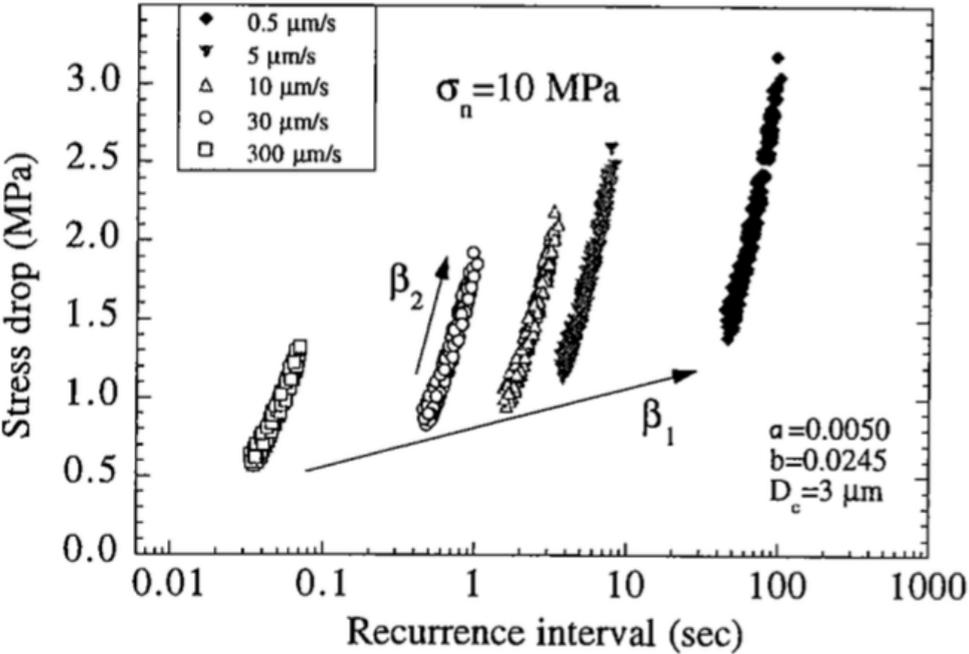
model.state_relations = [state1] # Which state relation we want to use
```

Everything is tested. Against itself which has been compared to another model.

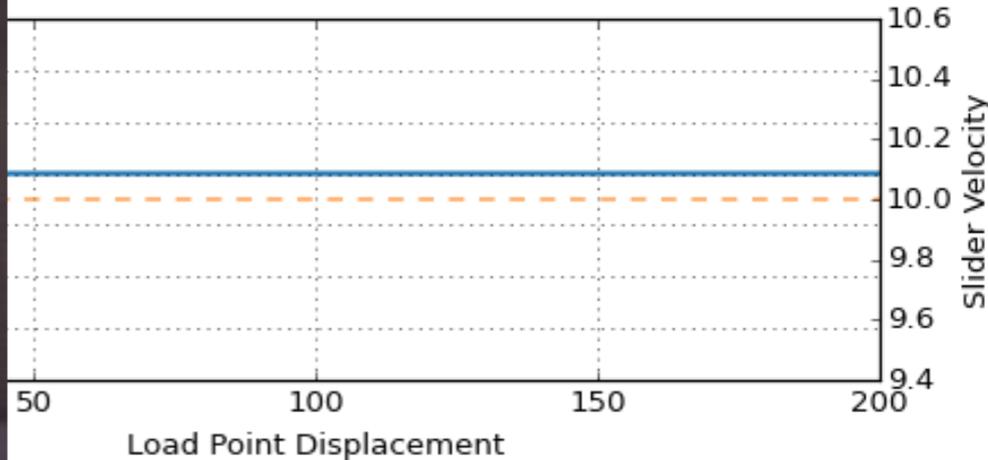
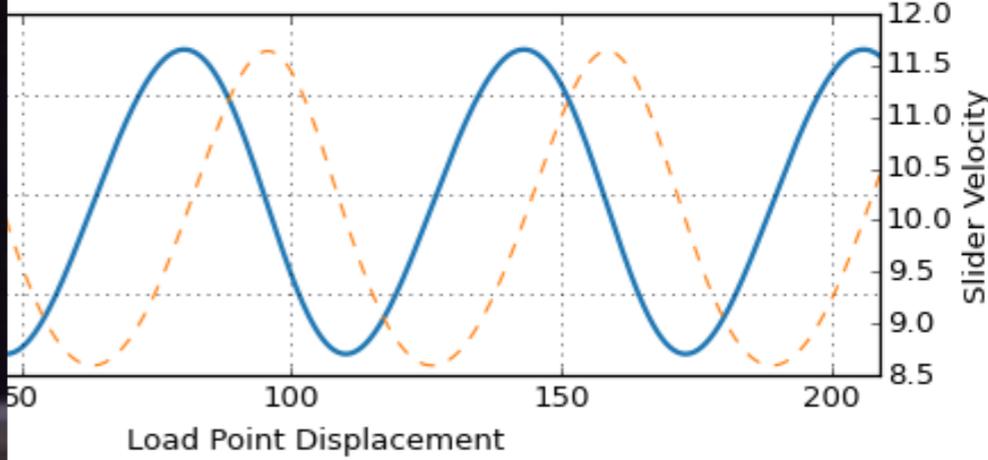
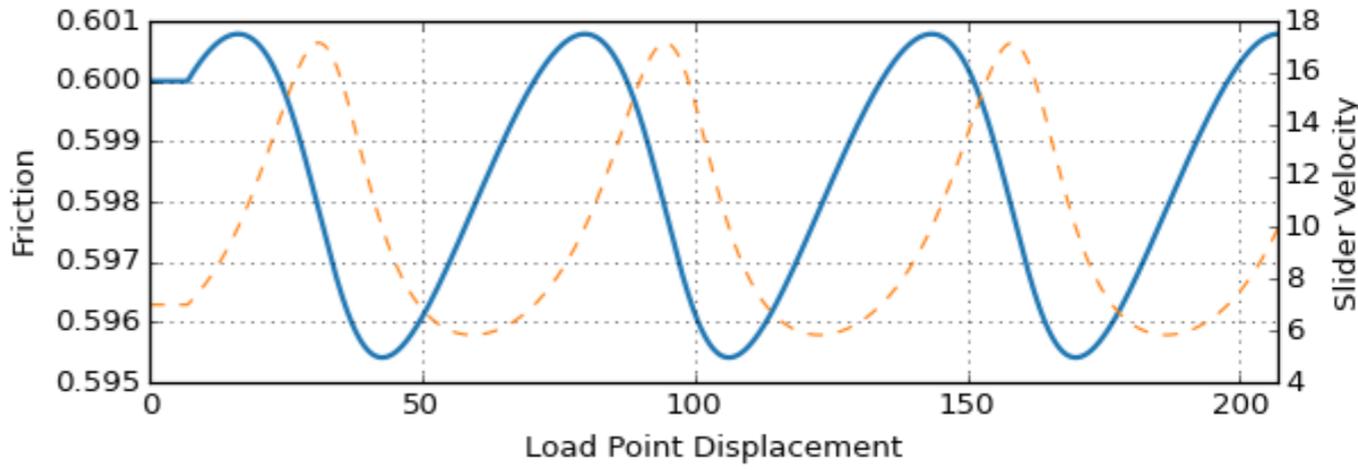
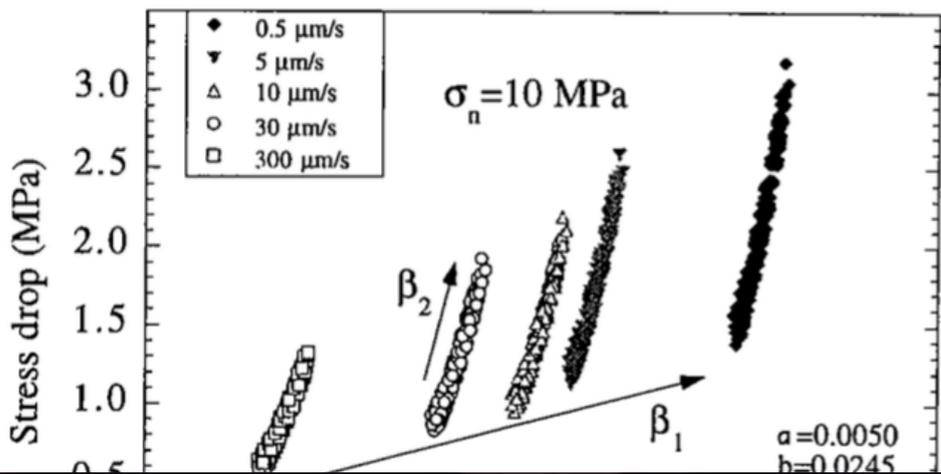
build passing coverage 100% docs latest



We found that some “well established” results were functions of how the model was setup



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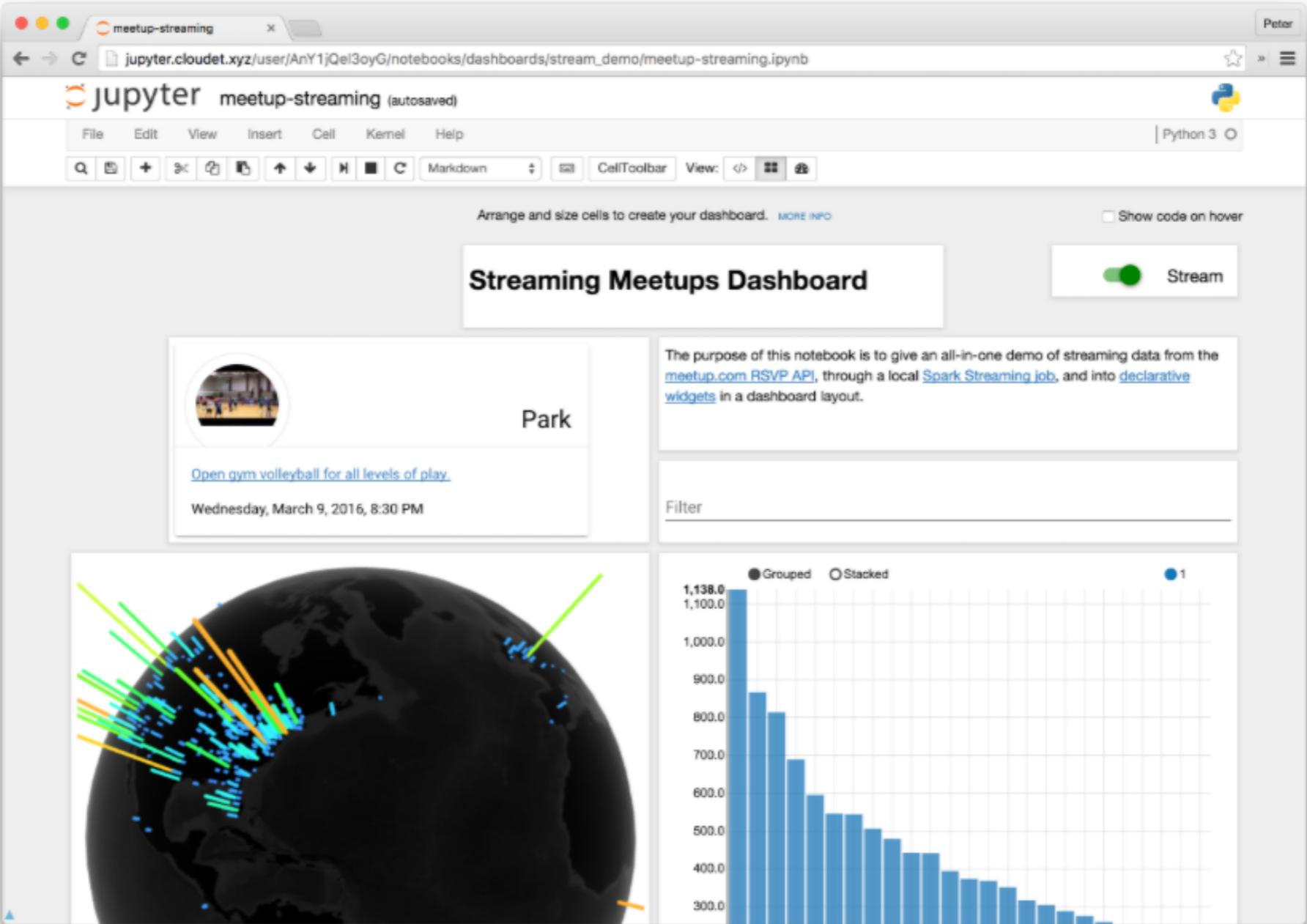


Dashboards make a great way to deploy for student interaction

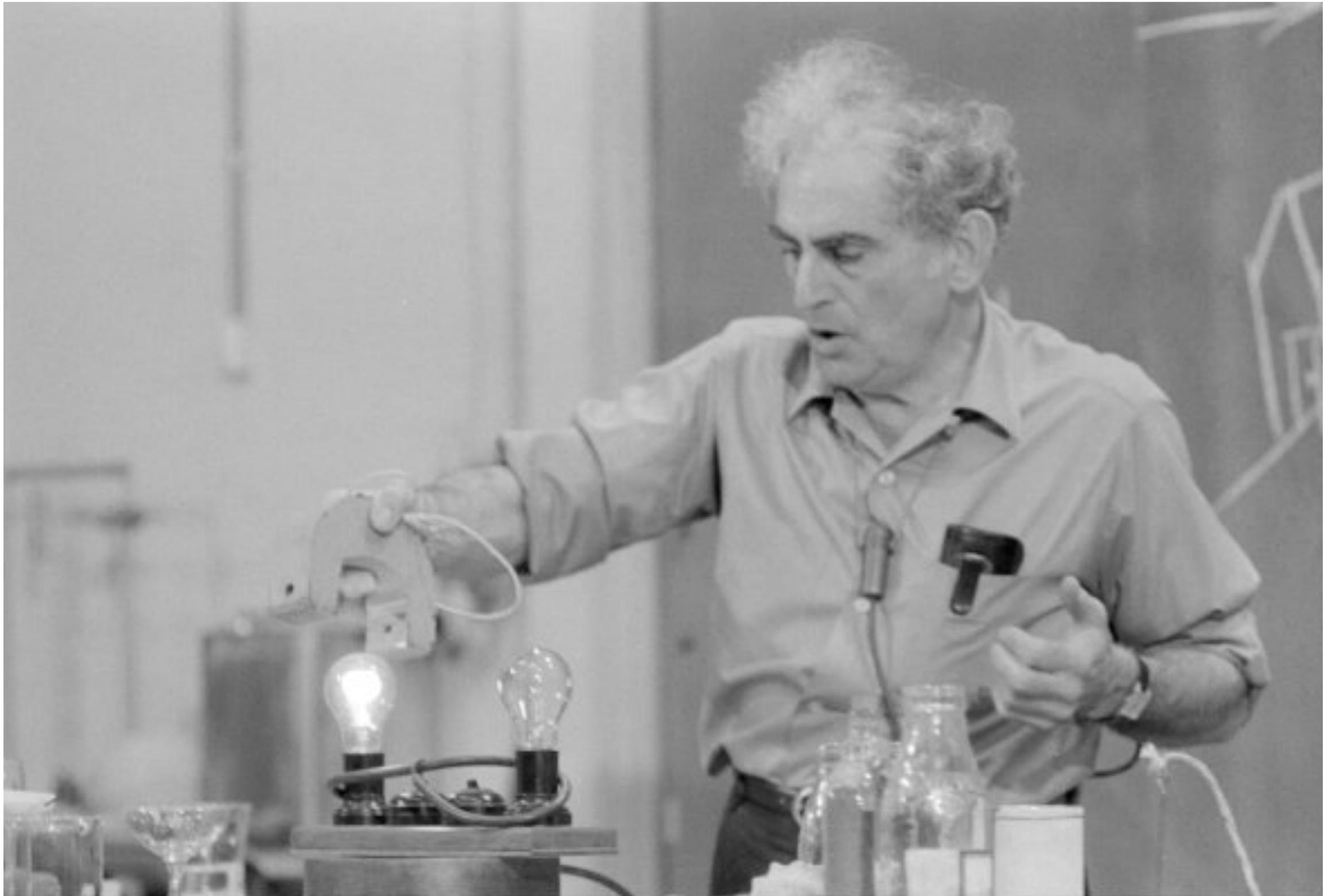
pypi package 0.6.0 build passing Google Group

Jupyter Dashboards Layout

Extension for Jupyter Notebook that enables the layout and presentation of dashboards from notebooks.



Give it a try! There are many potential applications: rsfmodel.com



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